

Joyce E. Penner

Ralph J. Cicerone Distinguished University Professor of Atmospheric Science
University of Michigan, Ann Arbor, MI
Adjunct Faculty Member, Desert Research Institute, Reno, NV

Address

Work

Joyce E. Penner, Professor
Atmospheric, Oceanic, and Space Sciences
University of Michigan
2455 Hayward
Ann Arbor, MI 48109-2143
Telephone: 734-936-0519
Fax: 734-936-0503
Email: penner@umich.edu

Home:

1009 Fountain St.
Ann Arbor, MI 48103
734-678-7433

Education

Ph.D., 1977, Harvard University, Cambridge, MA (Applied Mathematics)
M.S., 1972, Harvard University, Cambridge, MA (Applied Mathematics)
B.A., 1968–70, University of California, Santa Barbara, CA (Mathematics)
1966–68, Fresno State College, Fresno, CA (Mathematics)

Dissertation

Photochemistry and Transport Processes for Terrestrial Atmospheric H₂ and Venus Exospheric H

Additional Training

American Management Association course on project leadership, 1984
UCLA-Ojai Workshop on Leadership Training, June 1990
Training Course on the Human Element in Management, April 1993

Honors or Awards

Phi Kappa Phi, Fresno State College–1968
Phi Beta Kappa, University of California, Santa Barbara–1970
N.S.F. Scholarship, Harvard University, 1970–1971
Norbert Gerbier-Mumm International Award-1998 of the WMO for "A search for human influences on the thermal structure of the atmosphere" by Santer et al. 1996.
Fellow, American Geophysical Union, 1999.
Research Excellence Award, University of Michigan College of Engineering, 2003.
Aksel Winn-Nielson Collegiate Professor of Atmospheric Science, 2005.
Service Excellence Award, University of Michigan College of Engineering, 2007.

University of Michigan Ralph J. Cicerone Distinguished University Professor of Atmospheric Science, September 2007.

Co-author of three Intergovernmental Panel on Climate Change Assessment Reports as Report Coordinator (*Aviation and the Global Atmosphere*, 1999), as Coordinating Lead Author (*Climate Change 2001: The Scientific Basis*) and as Lead Author (*Climate Change 2007: The Physical Science Basis*) for which the IPCC shared the Nobel Peace Prize together with Al Gore in 2007.

Fellow, American Association for the Advancement of Science, 2009.

NASA Group Achievement Award, ACCRI Aircraft Cloud Effects Team, 2014.

2016 Haagen-Smit Clean Air Award in the category of Air Pollution Research.

Research Interests

Climate and climate change, aerosol and cloud interactions in climate, model development and interpretation.

Professional Employment

1972–1973

Teaching Fellow, Harvard University

1974–1977

Research Assistant in the Center for Earth and Planetary Physics
Harvard University, Cambridge, MA

1977–1996

Physicist, Lawrence Livermore National Laboratory, Livermore, CA

1987–1996

Group Leader, Lawrence Livermore National Laboratory, Livermore, CA

1993

Visiting Lecturer, University of California at Davis

1993–1995

Division Leader, Global Climate Research Division, Lawrence Livermore National Laboratory, Livermore, CA

1996–continuing

Professor, Department of Atmospheric, Oceanic, and Space Sciences
University of Michigan, Ann Arbor, Michigan

Professional Affiliations

American Geophysical Union

American Association for the Advancement of Science

American Meteorological Society

Professional Committees

President of the Atmospheric Sciences Section of the American Geophysical Union 2017-2018.

Member, Steering Committee for the Decadal Survey Earth Science and Applications from Space, January 2016-2017.

Chair, Editor in Chief Search Committee, *JGR-Atmospheres*, January 2016-September 2016.

Member, UCAR and NCAR Scientific Programs Evaluation Committee (SPEC) October 2015-2018 or 2020 (3 to 5 years)

Member, [Association of Public and Land-grant Universities' Board on Oceans, Atmosphere, and Climate](#), 2015.

President-Elect of the Atmospheric Sciences Section of the American Geophysical Union 2015-2016.

Member, Graduate Student Qualifying Exam Committee, May 2014-2015.

Chair, International Union of Geodesy and Geophysics Union Fellow Selection Committee, 2015.

Member, CoE Nominations Committee, 2013-2016.

Member, NRC Committee on A Framework for Analyzing the Needs for Continuity of NASA-Sustained Remote Sensing Observations of the Earth from Space, Fall 2013 – Fall 2015.

Member, NRC Committee on Geoengineering Climate: Technical Evaluation and Discussion of Impacts, April 2013 – Fall 2015.

Vice Chairman, NRC Committee on Earth Science and Applications from Space, April 2012 – April 2015.

Co-Chairman, NRC Committee on Earth Science and Applications from Space, April 2015 – December 2018.

Member, Electorate Nominating Committee (ENC) of the Section on Atmospheric & Hydrospheric Sciences, American Association for the Advancement of Science, February 2012 – February 2015.

Vice President, International Association of Meteorology and Atmospheric Science, 2011 – 2019.

Member, Executive Committee, University of Michigan Graham Sustainability Institute, September 2011-August 2017.

Member, NAS Committee for the Assessment of NASA's Earth Science Program, 2011 – 2012.

Member, Max Planck Institute for Chemistry at Mainz Scientific Advisory Committee, 2010 – 2019.

Member, College of Engineering Executive Committee, September 2008 – June 2009

Member, U.S. National Committee for Geodesy and Geophysics, February 2008 – October 2015.

Member, National Academy of Science Climate Research Committee, September 2006 – August 2009

Member, UCAR University Relations Committee, October 2006 – September 2009

Member, DOE Biological and Environmental Research Advisory Committee, Mar 2006 – Dec 2013

Chair, DOE BERAC Climate Subcommittee, July 2006 – July 2008

Member, Executive Committee for the University of Michigan, Graham Environmental Sustainability Institute, May 2006 – 2008, and 2012 – 2015

Chair-Elect, Chair, and Retiring-Chair of the AAAS Section on Atmospheric and Hydrospheric Sciences (2005-2008)

Co-Chair, Scientific Coordination Committee of the Modelling and Assessment of Contributions to Climate Change group (2005-2006)

Vice Chair, NRC Panel on Climate Variability and Change for the NRC Decadal Study on Earth Sciences (2004 – 2006)

Member, Jet Propulsion Laboratory Visiting Committee (2004-2007)

Member, American Geophysical Union Publications Committee (2004 – 2008)

Member, DOE Climate Change Prediction Program Scientific Steering Group (2003 – 2006)

Member, NCAR Atmospheric Chemistry Division Advisory Committee (2003)

Member, NOAA Climate Monitoring Working Group (CMWG) (2003 – 2009)

Member, NRC Committee on Metrics for Global Change Research (2003 – 2005)

Chairman, National Science Foundation Advisory Committee for Geosciences (2002 – 2003)

Chairman, NSF Committee of Visitors for the Lower Atmospheric Research Section, Geosciences Directorate (2001)

Member, National Science Foundation Advisory Committee for Geosciences (2001)

Member, ad hoc Steering Committee to develop a National Aerosol Climate Interactions Program Plan (2001 – 2002).

Member, NASA Earth System Science Advisory Committee (2001 – 2005).

Invited Workshop participant for the development of a 10 year strategic plan for the U.S. Global Change Research Program, November 2000.

Member, American Geophysical Union Development Committee (2000 – 2002)

Member, Advisory Committee for the Goddard Institute for Space Studies Climate Modeling Program (2000 – 2004)

Member, NRC Committee to Review NASA's Earth Science Enterprise Science Plan (2000)

Member, Executive Committee, University of Michigan Institute for Environmental Science and Technology (1999-2001)

Co-Chairman , Ad Hoc Advisory Committee on Advanced Computing, University of Michigan College of Engineering (2000)

Member, University of Michigan Office of the Vice President for Research Advisory Council (2000-2002)

Member, NCAR Climate System Laboratory Review Committee (1999-2006)

Member, Space Studies Board, National Research Council (1999 – 2001)

Member, National Research Council's Committee on Research Priorities for Airborne Particulate Matter (1998-2003)

Member, UCAR Membership Committee (1997-2000)

Member, UCAR Scientific Programs Evaluation Committee (1995-1998)

Member, National Research Council's Committee on Geophysical and Environmental Data (CGED) (1995-2001)

Member, Center for Clouds, Chemistry and Climate External Advisory Panel (1994-1997)

Member, National Academy of Sciences Panel on Aerosol Forcing and Climate Change (1993–1995)

Member, UCAR Climate Modeling, Analysis, and Prediction Scientific Advisory Council (1993-1997)

Member, NASA Science User Networks Working Group (1993-1995)

Member, NASA Global Tropospheric Chemistry Ad-hoc Advisory Panel (1992–1993)

Member, AMS Committee on Atmospheric Chemistry (1992-1995)

Member, Board of Directors, American Association for Aerosol Research (1991–1993)

Member, National Academy of Sciences Atmospheric Chemistry Committee (1990–1993)

Member, California Air Resources Board Modeling Advisory Committee (1989–1992)

Professional Activities

Review Editor, Technical Summary, IPCC Working Group I Fifth Assessment Report, published 2013.

Review Editor, Chapter 7: Clouds and Aerosols, IPCC Working Group I Fifth Assessment Report, published 2013.

Associate Chair, Department of Atmospheric, Oceanic, and Space Sciences, 2011 -.

Chair American Geophysical Union Macelwane Award Committee, 2010-2012.

Member, AGU Macelwane Award Committee, 2008-2010.

Editorial advisory board, Climatic Change Letters (2009 - 2012)

Program Committee, 10th International Conference on Carbonaceous Particles in the Atmosphere, June 26 to June 29, 2011, Vienna.

Program Committee, 9th International Conference on Carbonaceous Particles in the Atmosphere, August 12-14, 2008. Berkeley, CA

Briefing on the Modelling and Assessment of Contributions to Climate Change activities to the Conference of the Parties of the United Nations Climate Change Convention, Bali, December 2007.

Lead author, Understanding and Attributing Climate Change, Chapter 9 of the Intergovernmental Panel on Climate Change (IPCC) Fourth Assessment Report, 2003-2007.

Briefing on the Modelling and Assessment of Contributions to Climate Change activities to the Subsidiary Body for Scientific and Technological Advice of the United Nations, Bonn, May 2006.

Co-Chair of the Scientific Steering Committee for Modelling and Assessment of Contributions to Climate Change (2005-2006)

Program Committee, 8th International Conference on Carbonaceous Particles in the Atmosphere, September 14-16, 2004. Vienna, Austria

Program Committee, IAMAS (International Association of Meteorology and Atmospheric Science) 2001 Assembly

Program Committee, 7th International Conference on Carbonaceous Particles in the Atmosphere, November 26-29, 2000 San Juan, Puerto Rico.

Program Committee, International Symposium on Aviation and the Global Atmosphere, Amsterdam, June 23rd and 24th, 1999.

Briefing on "Aviation and the Global Atmosphere" to Senate staff as requested by Senator Chafee and Senator McCain, October 26, 1999.

Briefing on "Aviation and the Global Atmosphere" to the Subsidiary Body for Scientific and Technological Advice of the United Nations, June 1999.

Director, Laboratory for Atmospheric Science and Environmental Research, University of Michigan (1999 - 2004)

Science Team Leader, NASA Aerosol Radiative Forcing Science Team (1999-2001)

Co-Chairman (with W.H. Brune) 1999 Atmospheric Chemistry Gordon Conference

Co-Chairman (with Johann Goldammer), BIBEX Steering Committee (Biomass Burning Experiment), International Global Atmospheric Chemistry Program, International Geosphere-Biosphere Programme, 1998-2002.

Coordinating Lead Author, Aerosols, Chapter 5 of the Intergovernmental Panel on Climate Change (IPCC) Third Assessment Report, 1998-2001.

Report Coordinator (with David Lister) and editor, Aviation and the Global Atmosphere, IPCC Special Report, 1997-1999.

Reviewer and Participant, NASA Atmospheric Chemistry Program Review, May 1997.

Program Committee, Sixth International Conference on Carbonaceous Particles in the Atmosphere, September 22-25, 1997.

Reviewer and participant in U.S. EPA Particulate Matter Research Needs Workshop, September 4-6, 1996.

Member, BIBEX Steering Committee, International Geosphere-Biosphere Programme, 1995-1998.

Member, International Commission on Atmospheric Chemistry and Global Pollution, 1994-1998, 1998-2002.

Secretary, Atmospheric Sciences Section of the American Geophysical Union, 1994-1996.

Program Co-Chairman, 1994, Fifth International Conference on Carbonaceous Particles in the Atmosphere, August 22-25, 1994.

Member, Organizing Committee for the World Climate Research Program Workshop on the Transport and Scavenging of Trace Constituents by Clouds in Global Models, August 1995.

Chairman, Dept. of Energy, ARM Aerosol Working Group, 1991-1993.

Associate Editor, Journal of Climate (1993-2002).

Associate Editor, Journal of Geophysical Research-Atmospheres (1993-2002).

Program Committee, 1991, Fourth International Conference on Carbonaceous Particles in the Atmosphere, Vienna, Austria

Reviewer, 1991, NOAA Air Resources Laboratory, Dr. Bruce Hicks, Director.

Technical Program Committee, 1989, American Association for Aerosol Research Annual Meeting (organized Symposium on Global Climatic Effects of Aerosols), Reno, NV.

Program Committee, 1988, Third International Conference on Carbonaceous Particles in the Atmosphere, Berkeley, CA.

Reviewer for Journal of Geophysical Research, Nature, Science, Atmospheric Environment

Reviewer of proposals to NOAA, NASA, NSF, and DOE

Educational Activities

Visiting Lecturer: Atmospheric Chemistry 270F, a graduate level course taught at the University of California, Davis, Spring Quarter 1993.

Courses taught at University of Michigan:

Climate modeling AOSS 605: a graduate level course taught as needed.

Aerosol physics and chemistry AOSS 511: a graduate level course taught as needed.

Our Changing Atmosphere AOSS 105: an undergraduate course taught every year.

Developed new course: AOSS 410: Earth System Modeling (2004) a graduate and senior undergraduate course taught every year.

Clouds and Precipitation AOSS 411: a graduate and senior undergraduate course taught every other year.

Developed new experiential course (2009): Earth, Ocean, Atmosphere Interactions AOSS 475: a graduate and senior undergraduate course taught every other year.

Supervision of postdoctoral researchers:

Dr. Catherine Lioussé at Lawrence Livermore National Laboratory, 1994.

Dr. Kenneth Caldeira at Lawrence Livermore National Laboratory, 1993-1994.

Dr. Colin Price at Lawrence Livermore National Laboratory, 1993-1995

Dr. Catherine Chuang at Lawrence Livermore National Laboratory, 1989-1992

Dr. Jane Dignon at Lawrence Livermore National Laboratory, 1989-1992

Dr. David Erickson at University of California, San Diego, 1988-1990

Dr. Michael Herzog, University of Michigan, 1999 - 2001.
 Dr. Xiahong Liu, University of Michigan, 2000 - 2002.
 Dr. Akinori Ito, University of Michigan 2001 – 2003.
 Dr. Luis Olcese, University of Michigan 2005 – 2008.
 Dr. Seoung Soo Lee, University of Michigan 2007 – 2010.
 Dr. Cheng Zhou, University of Michigan 2010 –2013.
 Dr. Li Xu, University of Michigan January 2012 – Mar 31, 2012.
 Dr. Guangxing Lin, University of Michigan April 2013 –.

Supervision of graduate students:

Andrew Ackerman, Ph.D. student at University of Washington, summer, 1988
 Cynthia Atherton, Ph.D. student at University of California, Davis, 1987–1993
 Yan Feng, University of Michigan, 1997--2004
 Yang Zhang, University of Michigan, 1997-- 2003
 Li Chen, University of Michigan, 1998
 Christiane Jablonowski, University of Michigan, 1999 -- 2004
 Haoyu Gu, University of Michigan, 2000 -- 2002
 Huan Guo, University of Michigan, 2000 -- 2006
 Yang Chen, University of Michigan, 2000 – 2006
 Minghui Wang, University of Michigan, 2002 – 2009
 Li Xu, University of Michigan, 2004 – 2011
 Yuxing Yun, University of Michigan, 2006 – 2012
 Xi Chen, University of Michigan, 2006 – 2012
 Erica Roesler, University of Michigan, 2006 - 2012
 Guangxing Lin, University of Michigan, 2007 – 2013.

Supervision of undergraduate students:

Mark Fallis, San Jose State University—summer 1988
 John Tamareisis, San Jose State University—summer 1989
 Ben Graboske, University of California, Davis—summer 1990
 Ben Graboske, University of California, Berkeley—summer 1991
 Samuel Raisenen, University of Michigan— 1997 - 1999
 Elon Lang, University of Michigan, 1998-1999
 Brandon Preblich, University of Michigan, 2000 - 2002

Supervision of high school teachers:

John DiBari, Livermore, high school teacher—summer 1987
 Matthew Hopper, Danville, high school teacher—summer 1987
 Steven Giles, Livermore, high school teacher—summer 1987

Contributor

1. Hudson, R. D. and E. I. Reed (eds.) *The Stratosphere: Present and Future*, NASA Reference Publication 1049, December 1979.
2. Hudson, R. D., Editor-in-chief, *The Stratosphere 1981: Theory and Measurement*, WMO Global Ozone Research and Monitoring Project, Report No. 11, January 1982.
3. Prather, M., R. Derwent, D. Ehhalt, P. Fraser, E. Sanhueza, and X. Zhou, Chapter 2: Other trace gases and atmospheric chemistry, in *Radiative Forcing of Climate 1994*, Intergovernmental Panel on Climate Change, Report to IPCC from the Scientific Assessment Working Group (WGI), 1994.
4. Jonas, P.R., R.J. Charlson, and H. Rodhe, Chapter 3: Aerosols, in *Radiative Forcing of Climate 1994*, Intergovernmental Panel on Climate Change, Report to IPCC from the Scientific Assessment Working Group (WGI), 1994.

5. Shine, K.P., Y. Fouquart, V. Ramaswamy, S. Solomon, and J. Srinivasan, Chapter 4: Radiative Forcing, in *Radiative Forcing of Climate 1994*, Intergovernmental Panel on Climate Change, Report to IPCC from the Scientific Assessment Working Group (WGI), 1994.
6. Santer, B.D., T.M.L. Wigley, T.P. Barnett, E. Anyamba, Chapter 8: Detection of Climate Change and Attribution of Causes, Intergovernmental Panel on Climate Change, Report to IPCC from the Scientific Assessment Working Group (WGI), 1996.
7. Schimel, D., D. Alves, I. Enting, M. Heimann, F. Joos, D. Raynaud, U. Siegenthaler, T. Wigley, M. Prather, R. Derwent, D. Ehhalt, P. Fraser, E. Sanhueza, X Zhou, P. Jonas, R. Charlson, H. Rodhe, S. Sadasivan, K.P. Shine, Y. Fouquart, V. Ramaswamy, S. Solomon, J. Srinivasan, D. Albritton, R. Derwent, I. Isaksend, M. Lal, and D. Wuebbles, Chapter 2: Radiative Forcing of Climate Change, Intergovernmental Panel on Climate Change, Report to IPCC from the Scientific Assessment Working Group (WGI), 1996.
8. Sanhueza, E., P.J. Fraser, and R.J. Zander, Source Gases: Trends and Budgets, Scientific Assessment of Ozone Depletion: 1994, World Meteorological Organization, Global Ozone Research and Monitoring Project--Report No. 37.
9. National Research Council: 1996, A Plan for a Research Program on Aerosol Radiative Forcing and Climate Change, National Academy Press, Washington, D.C. 161 pp.
10. National Research Council: 1998: Research Priorities for Airborne Particulate Matter: Immediate Priorities and a Long-Range Research Portfolio, National Academy Press, Washington, D.C., 195 pp.
11. Aviation and the Global Atmosphere, Penner, J.E., Lister, D., Griggs, D., Docken, D., and MacFarland, M., eds., Intergovernmental Panel on Climate Change Special Report, Cambridge University Press, 1999.
12. Penner, J.E., M. Andreae, H. Annegarn, L. Barrie, J. Feichter, D. Hegg, A. Jayaraman, R. Leaitch, D. Murphy, J. Nganga, and G. Pitari, Chapter 5: Aerosols, their Direct and Indirect Effects, in *Climate Change 2001: The Scientific Basis*, Ed. by J.T. Houghton, Y. Ding, D.J. Griggs, M. Noguer, P.J. van der Linden, X. Dai, K. Maskell, C.A. Johnson, Report to Intergovernmental Panel on Climate Change from the Scientific Assessment Working Group (WGI), 289-348, Cambridge University Press.
13. Hegerl, G.C., F. W. Zwiers, P. Braconnot, N.P. Gillett, Y. Luo, J.A. Marengo Orsini, N. Nicholls, J.E. Penner and P.A. Stott, 2007: Understanding and Attributing Climate Change. In: *Climate Change 2007: The Physical Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change* [Solomon, S., D. Qin, M. Manning, Z. Chen, M. Marquis, K.B. Averyt, M. Tignor and H.L. Miller (eds.)], 663-745. Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA.

Publications

Refereed Journal Articles, Book Chapters, or Committee Reports

1. McElroy, M.B., S.C. Wofsy, J.C. McConnell, and J.E. Penner, 1974: Atmospheric ozone: Possible impact of stratospheric aviation, *J. Atmos. Sci.*, **31**, 278–303.
2. Penner, J.E., M.B. McElroy, and S.C. Wofsy, 1977: Sources and sinks for atmospheric H₂: A current analysis with projections for the influence of anthropogenic activity, *Planet. Space Sci.*, **25**, 521–540.
3. Chang, J.S. and J.E. Penner, 1978: Analysis of global budgets of halocarbons, *Atmos. Environ.*, **12**, 1867–1873.
4. Penner, J.E. and J.S. Chang, 1978: Possible variations in atmospheric ozone related to the eleven-year solar cycle, *Geophys. Res. Lett.*, **5**, 817–820.
5. Penner, J.E. and J.S. Chang, 1980: The relation between atmospheric trace species variabilities and solar UV variability, *J. Geophys. Res.*, **85**, 5523–5528.
6. Penner, J.E. and F.M. Luther, 1981: Effect of temperature feedback and hydrostatic adjustment in a stratospheric model, *J. Atmos. Sci.*, **38**, 446–453. (Also UCRL-83250.)
7. Penner, J.E., 1982: Trend prediction for O₃: An analysis of model uncertainty with comparison to detection thresholds, *Atmos. Environ.*, **16**, 1109–1115.

8. Penner, J.E., L.P. Golen, and R.W. Mensing, 1982: A time series analysis of Umkehr data from Arosa, *J. Geophys. Res.*, **87**, 1331–1335.
9. Wuebbles, D.J., F.M. Luther, and J.E. Penner, 1983: Effect of coupled anthropogenic perturbations on stratospheric ozone, *J. Geophys. Res.*, **88**, 1444–1456.
10. Penner, J.E., J.J. Walton, and T. Umeda, Air quality model validation: Application to the San Francisco Bay Area and St. Louis, published in the *Proceedings of the Air Pollution Control Association*, June 19–24, 1983, Atlanta, GA., (Also UCRL-88957.)
11. Penner, J.E., Modeling the effect of biogenic hydrocarbon emissions in the San Francisco Bay Area, published in the *APCA Specialty Meeting Proceedings on the Environmental Impact of Natural Emissions*, March 7–9, 1984, Research Triangle Park, North Carolina. (Also UCRL-89170.)
12. Penner, J.E., L.C. Haselman, Jr., and L.L. Edwards, 1986: Smoke plume distribution above large scale fires: Implications for simulations of ‘nuclear winter’, *J. of Clim. and App. Meteor.*, **25**, 1434–1444. (Also UCRL-90915, Rev. 2.)
13. Porch, W.M., J.E. Penner and D. Gillette, 1986: Parametric study of wind generated supermicron particle effects in large fires, *Atmos. Environ.*, **20**, 919–929. (Also UCRL-92635.)
14. Penner, J.E., 1986: Uncertainties in the smoke source term for ‘nuclear winter’ studies, *Nature*, **324**, 222–226. (Also UCRL-94226.)
15. Penner, J.E. and W.M. Porch, 1987: Coagulation in smoke plumes after a nuclear war, *Atmos. Environ.*, **21**, 957–969. (Also UCRL-94359, Rev. 1.)
16. Porch, W.M., J.E. Penner, and D. Gillette, 1987: Reply to comments on paper, ‘parametric study of wind generated supermicron particle effects in large fires’, *Atmos. Environ.*, **21**, 1250–1253. (Also UCRL-92635, Rev. 3 Comments.)
17. Porch, W.M., J.E. Penner, and D. Gillette, 1987: Reply to reply by Turco et al., *Atmos. Environ.*, **21**, 2065–2067. (Also UCRL-95921.)
18. Edwards, L.L. and J.E. Penner, 1988: Potential nucleation scavenging of smoke particles over large fires: A parametric study, in *Aerosols and Climate*, Edited by P.V. Hobbs and M.P. McCormick, pp. 423-434, A. Deepak Publishing, Hampton, VA. (Also UCRL-96242, Rev. 3.)
19. Atherton, C.S. and J.E. Penner, 1988: The transformation of nitrogen oxides in the polluted troposphere, *Tellus*, **40B**, 380–392. (Also UCRL-96343, Rev. 1.)
20. Iskander, M.F., H.Y. Chen, and J.E. Penner, 1989: Scattering and absorption by elongated aerosol particles in the resonance frequency range, *Aerosol Sci. and Technol.*, **10**, 172–180. (Also UCRL-96915.)
21. Penner, J.E. and C.R. Molenkamp, 1989: Predicting the consequences of nuclear war: Precipitation scavenging of smoke, *Aerosol Sci. and Technol.*, **10**, 51–62. (Also UCRL-96916, Rev. 1.)
22. Penner, J.E., P.S. Connell, D.J. Wuebbles, and C.C. Covey, 1989: Climate change and its interactions with air chemistry: Perspectives and research needs, in *The Potential Effects of Global Climate Change on the United States*, J.B. Smith and D.A. Tirpak, (eds.), U.S. Environmental Protection Agency, Washington, D.C., EPA-230-05-89-056. (Also UCRL-21111.)
23. Wuebbles, D.J., K.E. Grant, P.S. Connell, and J.E. Penner, 1989: The role of atmospheric chemistry in climate change, *JAPCA*, **39**, 22–28. (Also UCRL-96343, Rev. 1.)
24. Iskander, M.F., H.Y. Chen, and J.E. Penner, 1989: Optical scattering and absorption by branched chains of aerosols, *Applied Optics*, **28**, 3083–3091. (Also UCRL-100161.)
25. Ghan, S.J., J.E. Penner, and K.E. Taylor, 1989: Sulfur, climate change and cloud albedos, *Nature*, **340**, 438. (Also UCRL-100023, Rev. 1.)
26. Atherton, C.S. and J.E. Penner, 1990: The effects of biogenic hydrocarbons on the transformation of nitrogen oxides in the troposphere, *J. Geophys. Res.*, **95**, 14027-14038. (Also UCRL-99755, Rev. 1.)
27. Chen, H.Y., M.F. Iskander, and J.E. Penner, 1990: Light scattering and absorption by fractal agglomerates and coagulations of smoke aerosols, *Modern Optics*, **37**, 171–181. (Also UCRL-100084.)
28. Ghan, S.J., K.E. Taylor, J.E. Penner, and D. J. Erickson, 1990: Model test of CCN-cloud albedo climate forcing, *Geophys. Res. Lett.*, **17**, 607–610. (Also UCRL-100791, Rev. 1.)

29. Penner, J.E., 1990: Cloud albedo, greenhouse effects, atmospheric chemistry and climate change, *J. of the Air and Waste Management Assoc.*, **40**, 456–461. (Also UCRL-99928, Rev. 1.)
30. Erickson III, D.J., S.J. Ghan, and J.E. Penner, 1990: Global ocean-to-atmosphere dimethyl sulfide flux, *J. Geophys. Res.* **95**, 7543–7552. (Also UCRL-102449.)
31. Kreidenweis, S., J.E. Penner, F.Yin, and J.H. Seinfeld, 1991: The effects of dimethylsulfide upon marine aerosol concentrations, *Atmos. Environ.*, **25A**, 2501–2512. (Also UCRL-102415, Rev. 1)
32. Chen, H.U., M.F. Iskander, and J.E. Penner, 1991: An empirical formula for electromagnetic absorption by fractal aerosol agglomerates, *App. Opt.*, **30**, 1547–1552. (Also UCRL-101846)
33. Penner, J.E., C.S. Atherton, J. Dignon, S.J. Ghan, J.J. Walton, and S. Hameed, 1991: Tropospheric nitrogen: A three-dimensional study of sources, distribution, and deposition, *J. of Geophys. Res.*, **96**, 959–990. (Also UCRL-102183, Rev. 2.)
34. Rogers, C.F., J.G. Hudson, J. Hallett, and J.E. Penner, 1991: Water nucleation by crude oil smoke and coagulated crude oil/wood smoke particles, *Atmos. Environ.*, **25A**, 2571–2580. (Also UCRL-JC-103164.)
35. Erickson III, D.J., J.J. Walton, S.J. Ghan, and J.E. Penner, 1991: Three-dimensional modeling of the global atmospheric sulfur cycle: A first step, *Atmos. Environ.*, **25A**, 2513–2520. (Also UCRL-JC-103973.)
36. Ghan, S.J. and J.E. Penner, 1992: Smoke, effects on climate, invited article, in *Encyclopedia of Earth System Science*, Vol. 4, ed. by W.A. Nierenberg, Academic Press Inc., San Diego, 191–198. (Also UCRL-JC-103415, Rev. 1.)
37. Iskander, M.F., H.Y. Chen, and J.E. Penner, 1991: Resonance optical absorption by fractal agglomerates of smoke aerosols, *Atmos. Environ.*, **25A**, 2563–2570. (Also UCRL-JC-103155.)
38. Penner, J.E., M.M. Bradley, C.C. Chuang, L.L. Edwards, and L.F. Radke, 1991: A numerical simulation of the aerosol-cloud interactions and atmospheric dynamics of the Hardiman Township, Ontario prescribed burn, in *Global Biomass Burning*, ed. by J. Levine, MIT press, Cambridge, MA, 420–426. (Also UCRL-JC-104051, Rev. 1.)
39. Penner, J.E., S.J. Ghan, and J.J. Walton, 1991: The role of biomass burning in the budget and cycle of carbonaceous soot aerosols and their climate impact, in *Global Biomass Burning*, ed. by J. Levine, MIT press, Cambridge, MA, 387–393. (Also UCRL-JC-104053.)
40. Dignon, J., and J.E. Penner, 1991: Biomass burning: A source of nitrogen oxides in the atmosphere, in *Global Biomass Burning*, ed. by J. Levine, MIT press, Cambridge, MA, 370–375. (Also UCRL-JC-104735.)
41. Penner, J.E. and G.W. Mulholland, 1991: Global climatic effects of aerosols: The AAAR Symposium, *Atmos. Environ.*, **25A**, 2433–2434. (Also UCRL-JC-104486-SUM.)
42. Chuang, C.C., J.E. Penner and L.L. Edwards, 1992: Nucleation scavenging of smoke particles and simulated droplet size distributions over large fires, *J. of Atmos. Sci.*, **49**, 1264–1275. (Also UCRL-JC-106598 Rev. 1.)
43. Galloway, J.N., J.E. Penner, C.S. Atherton, D.R. Hastie, J.M. Prospero, H. Rodhe, R.S. Artz, Y.J., Balkanski, H.G. Bingemer, R.A. Brost, S. Burgermeister, G.R. Carmichael, J.S. Chang, R.J. Charlson, S. Cober, W.G. Ellis Jr., C.J. Fischer, J.M. Hales, T. Iversen, D.J. Jacob, K. John, J.E. Johnson, P.S. Kasibhatla, J. Langner, J. Lelieveld, H. Levy II, F. Lipschutz, J.T. Merrill, A.F. Michaels, J.M. Miller, J.L. Moody, J. Pinto, A.A.P. Pzenny, P.A. Spiro, L. Tarrason, S.M. Turner, and D.M. Whelpdale, 1992: Sulfur and nitrogen levels in the North Atlantic Ocean's atmosphere: A synthesis of field and modeling results, *Global Biogeochemical Cycles*, **6**, 77–100. (Also UCRL-JC-110514.)
44. Chuang, C.C., J.E. Penner, and L.L. Edwards, 1992: Drop size distributions and the efficiency of nucleation scavenging over the Hardiman fire, *Precipitation Scavenging and Atmosphere-Surface Exchange Processes*, S. E. Schwartz and W. G. N. Slinn, Eds., Hemisphere Publishing Corp., 563–573. (Also UCRL-JC-106966 Rev. 1.)
45. Sperber, K.R., S. Hameed, J.E. Penner, and J.J. Walton, 1992: Simulation of precipitation scavenging in a three-dimensional global model, *Precipitation Scavenging and Atmosphere-Surface Exchange Processes--Vol. 3*, S. E. Schwartz and W. G. N. Slinn, Eds., Hemisphere Publishing Corp., 1755–1769. (Also UCRL-JC-106107.)

46. Penner, J.E., H. Eddleman and T. Novakov, 1993: Towards the development of a global inventory of black carbon emissions, *Atmos. Environ.*, **27A**, 1277–1295. (Also UCRL-JC-108523-Rev. 1.)
47. Penner, J.E. 1994: Atmospheric chemistry and air quality, in *Changes in Land Use and Land Cover: A Global Perspective*, edited by W.B. Meyer and B.L. Turner II, Cambridge University Press, 175-209.
48. Penner, J.E., R. Dickinson and C. O'Neill, 1992: Effects of aerosol from biomass burning on the global radiation budget, *Science*, **256**, 1432-1434. (Also UCRL-JC-110374.)
49. Committee on Atmospheric Chemistry of the Board on Atmospheric Sciences and Climate, Commission on Geosciences, Environment, and Resources, National Research Council, 1993: Understanding and predicting atmospheric chemical change: An imperative for the U.S. Global Change Research Program, National Academy Press, Washington, D.C, 31p.
50. Ghan, S. J., C. C. Chuang, and J. E. Penner, 1993: A parameterization of cloud droplet nucleation, Part I: Single aerosol types, *Atmospheric Research.*, **30**, 198–221. (Also UCRL-JC-112011.)
51. Novakov, T., C. Rivera-Carpio, J. E. Penner, and C. F. Rogers, 1994: The effect of anthropogenic sulfate aerosols on marine cloud droplet concentrations, *Tellus*, **46B**, 132–141.
52. Penner, J.E., R.J. Charlson, J.M. Hales, N. Laulainen, R. Leifer, T. Novakov, J. Ogren, L.F. Radke, S.E. Schwartz, and L. Travis, 1994: Quantifying and minimizing uncertainty of climate forcing by anthropogenic aerosols, *Bulletin of the American Meteorological Society*, **75**, 375–400. (Also UCRL-JC-113176 and Dept. of Energy report DOE/NBB- 0092T, 1993.)
53. Novakov, T. and J.E. Penner, 1993: Large contribution of organic aerosol to cloud-condensation-nuclei concentrations, *Nature*, **365**, 823–826.
54. Penner, J.E., C.A. Atherton, and T.E. Graedel, 1994: Global emissions and models of photochemically active compounds, in *Global Atmospheric-Biospheric Chemistry*, ed. R. Prinn, Plenum Publishing, N.Y., 223-248. (Also UCRL-JC-112273.)
55. Chuang, C.C. and J.E. Penner, 1995: Effects of anthropogenic sulfate on cloud drop nucleation and optical properties, *Tellus*, **47B**, 566-577. (Also UCRL-JC-114084, 1993).
56. Taylor, K.E. and J.E. Penner, 1994: Response of the climate system to atmospheric aerosols and greenhouse gases, *Nature*, **369**, 734-737. (Also UCRL-JC-115861, Rev. 1).
57. Ghan, S. J., C. C. Chuang, R.C. Easter, and J. E. Penner, 1995: A parameterization of cloud droplet nucleation, Part II: Multiple aerosol types, *Atmospheric Research.*, **36**, 39-54.
58. Radke, L.F., D.A. Hegg, P.V. Hobbs, and J.E. Penner, 1995: Effects of aging on the smoke from a large forest fire, *Atmospheric Research*, **38**, 315-332. (see also <http://pubs.acs.org/CHECKCCIP-1005333097/subscribe/journals/esthag-a/35/i15/html/15penner.html>).
59. Penner, J.E., 1995: Carbonaceous aerosols influencing atmospheric radiation: black and organic carbon, in *Aerosol Forcing of Climate*, ed. R.J. Charlson and J. Heintzenberg, John Wiley and Sons, Chichester, 91-108.
60. Santer, B.D., K.E. Taylor, T.M.L. Wigley, J.E. Penner, U. Cubasch, and P.D. Jones, 1995: Towards the detection and attribution of an anthropogenic effect on climate, *Climate Dynamics*, **12**, 77-100.
61. Penner, J.E., J. Austin, D. Cariolle, H. Kelder, A. Kylling, M.J. Prather, B. Steil, and J. Sundet, 1995: Issues Relevant to the Development of Coupled Chemistry/Climate Models, in *Atmospheric Ozone as a Climate Gas General Circulation Model Simulations*, ed. by W.-C. Wang and I.S.A. Isaksen, Springer-Verlag, 47-61.
62. Penner, J.E., R.J. Charlson, J.M. Hales, N. Laulainen, R. Leifer, T. Novakov, J. Ogren, L.F. Radke, S.E. Schwartz, and L. Travis, 1994: Reply to Rogers comment on "Quantifying and minimizing uncertainty of climate forcing by anthropogenic aerosols", *Bulletin of the American Meteorological Society*, **75**, 2315-2316.
63. Robinson, J.M., S. Brush, I. Douglas, T.E. Graedel, D. Graetz, W. Hodge, D. Liverman, J. Melillo, R. Moss, A. Naumov, G. Njiru, J. Penner, P. Rogers, V. Ruttan, J. Sturdevant, 1994: Land-use and land-cover projections: Report of Working Group C, in *Changes in Land Use and Land Cover: A Global Perspective*, edited by W.B. Meyer and B.L. Turner II, Cambridge University Press, 73-92.

64. Atherton, C.S. J.E. Penner, C. Price, and J.J. Walton, 1995: Climate change and its effect on tropospheric ozone, in *Atmospheric Ozone as a Climate Gas General Circulation Model Simulations*, ed. by W.-C. Wang and I.S.A. Isaksen, Springer-Verlag, 65-85.
65. Rivera-Carpio, C.A., C.E. Corrigan, T. Novakov, J.E. Penner, C.F. Rogers, and J.C. Chow, 1996: Derivation of contributions of sulfate and carbonaceous aerosols to cloud condensation nuclei from mass size distributions, *J. Geophys. Res.*, 101, 19,483-19,494, 1996.
66. Penner, J.E., C.A. Atherton, and J. Dignon, 1995: Tropospheric Chemistry Research in the U.S.: 1991-1994, *Reviews of Geophysics, Supplement, U.S. National Report to International Union of Geodesy and Geophysics 1991-1994*, 749-758. (Also UCRL-JC-1188676.)
67. Atherton, C.A., S. Grotch, D.D. Parrish, J.E. Penner, and J.J. Walton, 1996: The role of anthropogenic emissions of NO_x on tropospheric ozone over the North Atlantic Ocean: A three dimensional, global model study, *Atmos. Environ.*, 30, 1739-1749.
68. National Academy of Sciences Panel on Aerosol Radiative Forcing and Climate, 1996: *Aerosol Radiative Forcing and Climate Change*, National Academy Press, 1996, 161pp.
69. Lioussé, C., J.E. Penner, C. Chuang, J.J. Walton, H. Eddleman, and H. Cachier, 1996: A Three-dimensional model study of carbonaceous aerosols, *J. Geophys. Res.*, 101, 19,411-19,432.
70. Jacob, D.J., M.J. Prather, P.J. Rasch, R.-L. Shia, Y.J. Balkanski, S.R. Beagley, D.J. Bergmann, W.T. Blackshear, M. Brown, M. Chiba, M.P. Chipperfield, J. de Grandpré, J.E. Dignon, J. Feichter, C. Genthon, W.L. Grose, P.S. Kasibhatla, I. Köhler, M.A. Kritz, K. Law, J.E. Penner, M. Ramonet, C.E. Reeves, D.A. Rotman, D.Z. Stockwell, P.F.J. Van Velthoven, G. Verver, O. Wild, H. Yang, and P. Zimmermann, 1997: Evaluation and intercomparison of global atmospheric transport models using ²²²Rn and other short-lived tracers, *J. Geophys. Res.*, 102, 5953-5970.
71. Andrews, E., S. Kreidenweis, J.E. Penner, and S. Larson, 1997: Potential origin of organic CCN observed at a marine site, *J. Geophys. Res.*, 102, 21,997-22,012.
72. Santer, B.D., K.E. Taylor, T.M.L. Wigley, T.C. Johns, P.D. Jones, D.J. Karoly, J.F.B. Mitchell, A.H. Oort, J.E. Penner, V. Ramaswamy, M.D. Schwarzkopf, R.J. Stouffer, and S. Tett, 1996: A search for human influence on the thermal structure of the atmosphere, *Nature*, 382, 39-46.
73. Lelieveld, J., P.J. Crutzen, H. Grassl, J. Heintzenberg, R. Jaenicke, Y.J. Kaufman, J.T. Kiehl, J.E. Penner, H. Rodhe, I. Schult, I. Tegen, 1995: Group report: Magnitudes and geographical variations and uncertainties of properties of tropospheric and stratospheric aerosols and their forcing, in *Aerosol Forcing of Climate*, ed. R.J. Charlson and J. Heintzenberg, John Wiley and Sons, Chichester, 335-348.
74. Penner, J.E., T.M.L. Wigley, P. Jaumann, B.D. Santer, and K.E. Taylor, 1997: Anthropogenic aerosols and climate change: A method for calibrating forcing, in *Assessing Climate Change: Results from the Model Evaluation Consortium for Climate Assessment*, ed. by W. Howe and A. Henderson-Sellers, Gordon & Breach Science Publishers, Sydney, Australia, pp. 91-111.
75. Lioussé, C., J.E. Penner, J.J. Walton, H. Eddleman, C. Chuang, and H. Cachier, 1996: Modeling biomass burning aerosols, in *Biomass Burning and Global Change*, Vol. 1, ed. by J.S. Levine, The MIT Press, Cambridge, MA, 492-508.
76. Price, C., J.E. Penner, and M.J. Prather, 1997: NO_x from lightning, Part I: Global distribution based on lightning physics, *J. Geophys. Res.*, 102, 5929-2941.
77. Price, C., J. Penner, and M. Prather, 1997: NO_x from lightning, Part II: Using the global electric circuit, *J. Geophys. Res.*, 102, 5943-5951.
78. Chuang, C.C., J.E. Penner, K.E. Taylor, A.S. Grossman, and J.J. Walton, 1997: An assessment of the radiative effects of anthropogenic sulfate, *J. Geophys. Res.*, 102, 3761-3778.
79. Townsend, A.R., B.H. Braswell, E.A. Holland, and J.E. Penner, 1996: Spatial and temporal patterns in terrestrial carbon storage resulting from deposition of fossil fuel derived nitrogen, *Ecological Applications*, 6(3) 806-814.
80. Penner, J.E. and T. Novakov, 1996: Carbonaceous particles in the atmosphere: An historical perspective to the Fifth International Conference on Carbonaceous Particles in the Atmosphere, *J. Geophys. Res.*, 101, 19,373-19,378. (Also UCRL-JC-123388.)
81. Holland, E.A., B.H. Braswell, J.-F. Lamarque, A. Townsend, J. Sulzman, J.-F. Müller, F. Dentener, G. Brasseur, H. Levy II, J.E. Penner, and G. Roelofs, 1997: The spatial distribution of

- atmospheric nitrogen deposition and its impact on terrestrial ecosystems, *J. Geophys. Res.*, 102, 15,849-15,866.
82. Emmons, L.K., M.A. Carroll, C. Atherton, D. Hauglustaine, H. Levy II, F. Rohrer, A. Volz-Thomas, C. Gerbig, W.M.F. Wauben, P.F.J. van Velthoven, P. Bakwin, J. Bradshaw, S. Sandholm, B. Doddridge, R. Dickerson, R. Honrath, G. Hübler, D. Jaffe, Y. Kondo, J.W. Munger, S. Wofsy, B.A. Ridley, A. Torres, 1997: Climatologies of NO_x and NO_y: A comparison of data and models, *Atmospheric Environment*, *Atmos. Environ.*, 31, 1851-1903.
 83. Olson, J., M. Prather, T. Berntsen, G. Carmichael, R. Chatfield, P. Connell, R. Derwent, L. Horowitz, S. Jin, M. Kanakidou, P. Kasibhatla, R. Kotamarthi, M. Kuhn, K. Law, J. Penner, L. Perliski, S. Sillman, F. Stordal, A. Thompson and O. Wild, 1997: Results from the Intergovernmental Panel on Climate Change photochemical model intercomparison (PhotoComp), *J. Geophys. Res.*, 102, 5979-5991.
 84. Novakov, T., C.E. Corrigan, J.E. Penner, C.C. Chuang, O. Rosario, and O.L. Mayol Bracero, 1997: Organic aerosols in the Caribbean trade winds: A natural source?, *J. Geophys. Res.*, 102, 21,307-21,314.
 85. Penner, J.E., C. Chuang, and K. Grant, 1998: Climate forcing by carbonaceous and sulfate aerosols, *Climate Dynamics*, 14, 839-851.
 86. Penner, J.E., D. Bergmann, J.J. Walton, D. Kinnison, M.J. Prather, D. Rotman, C. Price, K.E. Pickering, S.L. Baughcum, 1998: An evaluation of upper tropospheric NO_x with two models, *J. Geophys. Res.*, 103, 22,097-22,114.
 87. Tegen, I., P. Hollrigl, M. Chin, I. Fung, D. Jacob, and J. Penner, 1997: Contribution of different aerosol species to the global aerosol extinction optical thickness: Estimates from model results, *J. Geophys. Res.*, 102, 23,895-23,915.
 88. Grant, K.E., C.C. Chuang, A.S. Grossman, and J.E. Penner, 1999: Modeling the spectral optical properties of ammonium sulfate and biomass burning aerosols; Parameterization of relative humidity effects and model results, *Atmos. Env.*, 33, 2603-2620.
 89. Penner, J.E., Aerosols, 2000: invited article for the Encyclopedia of Global Change, Ed. A.S. Goudie, Oxford University Press, New York.
 90. Danilin, M.Y., D.W. Fahey, U. Schumann, M.J. Prather, J.E. Penner, M.K.W. Ko, D.K. Weisenstein, C.H. Jackman, G. Pitari, I. Köhler, R. Sausen, C.J. Weaver, A.R. Douglass, P.S. Connell, D.E. Kinnison, F.J. Dentener, E.L. Fleming, T.K. Berntsen, I.S.A. Isaksen, J.M. Haywood, and B. Kärcher, 1998: Aviation fuel tracer simulation: Model intercomparison and implications, *Geophys. Res. Lett.*, 25, 3947-3950.
 91. Lohmann, U., J. Feichter, C. C. Chuang, and J. E. Penner, 1999: Prediction of the number of cloud droplets in the ECHAM GCM, *J. Geophys. Res.*, 104, 9169-9198.
 92. Lohmann, U., J. Feichter, C.C. Chuang, and J.E. Penner, 1999: Erratum, *J. Geophys. Res.* 104, 24,557-24,563, 1999.
 93. Rasch, P.J., H. Feichter, K. Law, N. Mahowald, J. Penner, C. Benkovitz, C. Genthon, C. Giannakopoulos, P. Kasibhatla, D. Koch, H. Levy, T. Maki, M. Prather, D.L. Roberts, G.-J. Roelofs, D. Stevenson, Z. Stockwell, S. Taguchi, M. Kritz, M. Chipperfield, D. Baldocchi, P. McMurry, L. Barrie, Y. Balkanski, R. Chatfield, E. Kjellstrom, M. Lawrence, H.N. Lee, J. Lelieveld, K.J. Noone, J. Seinfeld, G. Stenchikov, S. Schwarz, C. Walcek, D. Williamson, 2000: A comparison of scavenging and deposition processes in global models: Results from the WCRP Cambridge Workshop of 1995, *Tellus*, 52B, 1025-1056.
 94. Lohmann, U., J. Feichter, J.E. Penner, and R. Leaitch, 2000: Indirect effect of sulfate and carbonaceous aerosols: A mechanistic treatment, *J. Geophys. Res.*, 105, 12,193-12,206.
 95. Penner, J.E., Lister, D.H., Griggs, D.J., Docken, D., and MacFarland, M., eds., 1999: Aviation and the Global Atmosphere, Intergovernmental Panel on Climate Change Special Report, Cambridge University Press, 1999.
 96. Penner, J.E., 2001: Aerosols, effects on the climate, Encyclopedia of Global Environmental Change, Volume 1, The Earth system: physical and chemical dimensions of global environmental change, M.C. MacCracken, and J.S. Perry, Eds., John Wiley & Sons, Chichester.
 97. Schlesinger, M.E., S. Malyshev, E.V. Rozanov, F. Yang, N.G. Andronova, B. de Vries, A. Grübler, K. Jiang, T. Masui, T. Morita, N. Nakicenovic, J. Penner, W. Pepper, A. Sankovski, and Y. Zhang, 2000: Geographic distributions of temperature change for SRES scenarios of

- greenhouse gas and sulfur dioxide emissions, *Technological Forecasting and Social Change* (TFSC): 65, 167-193.
98. Chuang, C. C., J. E. Penner, K. E. Grant, J. M. Prospero, G. H. Rau, and K. Kawamoto, 2002: Cloud susceptibility and the first aerosol indirect forcing: Sensitivity to black carbon and aerosol concentrations, *J. Geophys. Res.*, 107(D21), Art. No. 4564, doi:10.1029/2000JD000215.
 99. Penner, J.E., M. Andreae, H. Annegarn, L. Barrie, J. Feichter, D. Hegg, A. Jayaraman, R. Leaitch, D. Murphy, J. Nganga, and G. Pitari, 2001: Aerosols, their Direct and Indirect Effects, in *Climate Change 2001: The Scientific Basis*, Ed. by H.T. Houghton, Y. Ding, D.J. Griggs, M. Noguer, P.J. van der Linden, X. Dai, K. Maskell, C.A. Johnson, Report to Intergovernmental Panel on Climate Change from the Scientific Assessment Working Group (WGI), Cambridge University Press, 289-416.
 100. Nakajima, T., A. Higurashi, A., K. Kawamoto, and J. E. Penner, 2001: A possible correlation between satellite-derived cloud and aerosol microphysical parameters, *Geophys. Res. Lett.*, 28, 1171-1174.
 101. Rotstayn, L.D., B.F. Ryan, and J.E. Penner, 2000: Precipitation changes in a GCM resulting from the indirect effects of anthropogenic aerosols, *Geophys. Res. Lett.*, 27, 3045-3048.
 102. Rotstayn, L.D, and J.E. Penner, 2001: Forcing, quasi-forcing and climate response, *J. Climate*, 14, 2960-2975.
 103. Robertson, A.D., J.T. Overpeck, D. Rind, E. Mosley-Thompson, G.A. Zielinski, J. L. Lean, D. Koch, J.E. Penner, I. Tegen, and R. Healy, 2001: Hypothesized climate forcing time series for the last 500 years, *J. Geophys. Res.*, 106, 14,783-14,803.
 104. Penner, J.E. and L.D. Rotstayn, 2000: Indirect aerosol forcing, *Science*, 290, 407 (full text in www.sciencemag.org/cgi/content/full/290/5491/407a).
 105. Penner, J.E., S. Y. Zhang, M. Chin, C.C. Chuang, J. Feichter, Y. Feng, I.V. Geogdzhayev, P. Ginoux, M. Herzog, A. Higurashi, D. Koch, C. Land, U. Lohmann, M. Mishchenko, T. Nakajima, G. Pitari, B. Soden, I. Tegen, L. Stowe, 2002: A comparison of model- and satellite-derived aerosol optical depth and reflectivity, *J. Atmos. Sci.*, 59, 441-460.
 106. Mishchenko, M., J. Penner, and D. Anderson, 2002: Global aerosol climatology project, *J. Atmos. Sci.*, 59, 249.
 107. Penner, J.E., D. Hegg, and R. Leaitch, 2001: Unravelling the role of aerosols in climate change, *Environmental Science and Technology*, 35 (15), 332A-340A. (<http://pubs.acs.org/cgi-bin/article.cgi/esthag-a/0000/35/i15/html/15penner.html>)
 108. Penner, J.E., 2001: Feedbacks: Chemistry, Interactions with climate, *Encyclopedia of Global Environmental Change, Volume 1, The Earth system: physical and chemical dimensions of global environmental change*, M.C. MacCracken, and J.S. Perry, Eds., John Wiley & Sons, Chichester, 2001.
 109. Sokolik, I.N., D.M. Winker, G. Bergametti, D.A. Gillette, G. Carmichael, Y.J. Kaufman, L. Gomes, L. Schuetz, J.E. Penner, 2001: Outstanding problems in quantifying the radiative impacts of mineral dust, *J. Geophys. Res.*, 106, 18,015-18,027.
 110. Liu, X. and J.E. Penner, 2002: Effect of Mount Pinatubo H₂SO₄/H₂O aerosol on ice nucleation in the upper troposphere using a global chemistry and transport model, *J. Geophys. Res.*, 107, D12, doi:10.1029/2001JD000455.
 111. Kreidenweis, S.M., C. Walcek, C.-H. Kim, G. Feingold, W. Gong, M. Jacobson, X. Liu, J.E. Penner, A. Nenes, J. H. Seinfeld, 2003: Modification of aerosol mass and size distribution due to aqueous phase SO₂ oxidation in clouds: Comparisons of several models, *J. Geophys. Res.*, 108, NO. D7, Art. No. 4213, doi:10.1029/2002JD002697.
 112. Menon, S., J.-L. Brenguier, O. Boucher, P. Davison, A. D. Del Genio, J. Feichter, S. Ghan, S. Guibert, X. Liu, U. Lohmann, H. Pawlowska, J. E. Penner, J. Quaas, D. L. Roberts, L. Schüller and J. Snider, 2003: Evaluating Cloud/Aerosol/Radiation Process Parameterizations with Single Column Models and ACE-2 Cloudy Column Observations, *J. Geophys. Res.*, 108(D24), 4762, doi:10.1029/2003JD003902.
 113. Penner, J.E., S.Y. Zhang, and C.C. Chuang, 2003: Soot and smoke aerosol may not warm climate, *J. Geophys. Res.*, 108, D21, Art. No. 4657, doi: 10.1029/2003JD003409.
 114. Penner, J.E., 2003: Comments on "Control of fossil-fuel particulate black carbon and organic matter, possibly the most effective method of slowing global warming" by M.Z. Jacobson, *J. Geophys. Res.*, 108(D24), 4771, doi:10.1029/2002JD003364.

115. Kinne, S., U. Lohmann, J. Feichter, M. Schulz, C. Timmreck, S. Ghan, R. Easter, M. Chin, P. Ginoux, T. Takemura, I. Tegen, D. Koch, M. Herzog, J. Penner, G. Pitari, B. Holben, T. Eck, A. Smirnov, O. Dubovik, I. Slutsker, D. Tanre, O. Torres, M. Mishchenko, I. Geogdzhayev, D.A. Chu, and Y. Kaufman, 2003: Monthly averages of aerosol properties: A global comparison among models, satellite data, and AERONET ground data, *J. Geophys. Res.*, 108, No. D20, 4634, doi: 10.109/2001JD001253.
116. Ito, A., and J.E. Penner, 2004: Global estimates of biomass burning emissions based on satellite imagery for the year 2000, *J. Geophys. Res.*, Vol. 109, No. D14, D14S05, 10.1029/2003JD00442305.
117. Penner, J.E., X. Dong and Y. Chen, 2004: Observational evidence of a change in radiative forcing due to the indirect aerosol effect, *Nature*, 427, 231-234.
118. Feng, Y., J.E. Penner, S. Sillman, and X. Liu, 2004: The effects of cloud overlap in photochemical models, *J. Geophys. Res.*, Vol. 109, No. D4, D04310, doi: 10.1029/2003JD004040.
119. Herzog, M., D. Weisenstein, and J.E. Penner, 2004: An aerosol module for global chemical transport models: Model description, *J. Geophys. Res.*, 109, D18202, doi:10.1029/2003JD004405.
120. Liu, X. and J.E. Penner, 2005: Ice nucleation parameterization for a global model, *Meteorologische Zeitschrift*, 14(4), 499-514.
121. Lioussé, C., M.O. Andreae, P. Artaxo, P. Barbosa, H. Cachier, J.-M. Grégoire, P. Hobbs, D. Lavoué, F. Mouillot, J. Penner, M. Scholes, and M. Schultz, 2004: Deriving global quantitative estimates for spatial and temporal distributions of biomass burning emissions, in *Emissions of Atmospheric Trace Compounds*, edited by C. Granier, P. Artaxo, and C.E. Reeves, Kluwer Academic Publishers, Dordrecht.
122. Kasischke, E.S. and J.E. Penner, 2004: Improving estimates of atmospheric emissions from biomass burning, *J. Geophys. Res.*, 109, D14S01, doi:10.1029/2004JD004972.
123. Penner, J.E., 2004: The cloud conundrum, *Nature*, 432, 962-963.
124. Ito, A. and J.E. Penner, 2005: Estimates of CO emissions from open biomass burning in Southern Africa for the year 2000, *J. Geophys. Res.*, 110, D19306, doi:10.1029/2004JD005347.
125. Zhang, S., J.E. Penner, and O. Torres, 2005: Inverse Modeling of Biomass Burning Emissions Using Toms AI for 1997, *J. Geophys. Res.*, Vol. 110, No. D21, D21306, doi:10.1029/2004JD005738.
126. Penner, J.E., M. Wang, A. Kumar, L. Rotstajn, and B. Santer, 2007: Effect of Black Carbon on Mid-Troposphere and Surface Temperature Trends, in *Human-Induced Climate Change: An Interdisciplinary Assessment*, ed. by M. Schlesinger, M. Schlesinger, H. Kheshgi, J. Smith, F. de la Chesnaye, J. Reilly, C. Kolstad, and T. Wilson, Cambridge University Press, pp. 18-33.
127. Ito, A. and J.E. Penner, 2005: Historical emissions of carbonaceous aerosols from biomass and fossil fuel burning for the period 1870 – 2000, *Global Biogeochem. Cycles*, Vol. 19, No. 2, GB2028, doi:10.1029/2004GB002374.
128. Liu, X., J. E. Penner, and M. Herzog, 2005: Global modeling of aerosol dynamics: Model description, evaluation and interactions between sulfate and non-sulfate aerosols, *J. Geophys. Res.*, 110, D18206, doi:10.1029/2004JD005674.
129. Jablonowski, C., M. Herzog, J.E. Penner, R.C. Oemke, Q.F. Stout, B. van Leer, and K.G. Powell, 2006: Block-Structured Adaptive Grids on the Sphere: Advection Experiments, *Mon. Weath. Rev.*, Volume 134, pp. 3691–3713, DOI: 10.1175/MWR3223.1.
130. Chen, Y. and J.E. Penner, 2005: Uncertainty analysis for estimates of the first indirect effect, *Atmos. Chem. Phys.*, 5, 2935-2948, www.atmos-chem-phys.org/acp/5/2935/.
131. Feng, Y. and J.E. Penner, 2007: Global Modeling of Nitrate and Ammonium: Interaction of Aerosols and Tropospheric Chemistry, *J. Geophys. Res.*, 112, D01304, doi:10.1029/2005JD006404.
132. Bates, T.S., T.L. Anderson, T. Baynard, T. Bond, O. Boucher, G. Carmichael, A. Clarke, C. Erlick, H. Guo, L. Horowitz, S. Howell, S. Kulkarni, H. Maring, A. McComiskey, A. Middlebrook, K. Noone, C.D. O'Dowd, J. Ogren, J. Penner, P.K. Quinn, A.R. Ravishankara, D.L. Savoie, S.E. Schwartz, Y. Shinozuka, Y. Tang, R.J. Weber, and Yonghua Wu, 2006: Aerosol direct radiative effects over the northwest Atlantic, northwest Pacific, and North Indian Oceans: Estimates based on in-situ chemical and optical measurements and chemical transport modeling, *Atmos. Chem. Phys.*, 6, 1657–1732, www.atmos-chem-phys.net/6/1657/2006/.
133. Liu, X., J.E. Penner, B. Das, D. Bergmann, J.M. Rodriguez, S. Strahan, M. Wang, and Y. Feng,

- 2007: Uncertainties in global aerosol simulations: Assessment using three meteorological datasets, *J. Geophys. Res.*, 112, D11212, doi:10.1029/2006JD008216.
134. Ito, A., S. Sillman, and J. E. Penner, 2007: Effects of additional nonmethane volatile organic compounds, organic nitrates, and direct emissions of oxygenated organic species on global tropospheric chemistry, *J. Geophys. Res.*, 112, D06309, doi:10.1029/2005JD006556.
135. Penner, J.E., J. Quaas, T. Storelvmo, T. Takemura, O. Boucher, H. Guo, A. Kirkevåg, J.E. Kristjánsson, and Ø. Seland, 2006: Model intercomparison of indirect aerosol effects, *Atmos. Chem. Physics*, 6, 3391-3405, www.atmos-chem-phys.net/6/3391/2006/.
136. Wallington, T. J., M. D. Hurley, J. Xia, D. J. Wuebbles, S. Sillman, A. Ito, J. E. Penner, D. A. Ellis, J. Martin, S. A. Mabury, O. J. Nielsen, and M. P. Sulbaek Andersen, 2006: Formation of C7F15COOH and Other Perfluorocarboxylic Acids during the Atmospheric Oxidation of 8:2 Fluorotelomer Alcohol, *Environ. Sci. Technol.*, 40(3), 924 – 930.
137. Rotstayn, L. D., W. Cai, M. R. Dix, G. D. Farquhar, Y. Feng, P. Ginoux, M. Herzog, A. Ito, J. E. Penner, M. L. Roderick, and M. Wang, 2007: Have Australian rainfall and cloudiness increased due to the remote effects of Asian anthropogenic aerosols?, *J. Geophys. Res.*, 112, D09202, doi:10.1029/2006JD007712.
138. Textor, C., M. Schulz, S. Guibert, S. Kinne, Y. Balkanski, S. Bauer, T. Berntsen, T. Berglen, O. Boucher, M. Chin, F. Dentener, T. Diehl, R. Easter, H. Feichter, D. Fillmore, S. Ghan, P. Ginoux, S. Gong, A. Grini, J. Hendricks, L. Horowitz, P. Huang, I. Isaksen, T. Iversen, S. Kloster, D. Koch, A. Kirkevåg, J. E. Kristjánsson, M. Krol, A. Lauer, J. F. Lamarque, X. Liu, V. Montanaro, G. Myhre, J. Penner, G. Pitari, S. Reddy, Ø. Seland, P. Stier, T. Takemura, and X. Tie, 2006: Analysis and quantification of the diversities of aerosol life cycles within AeroCom, *Atmos. Chem. Phys.*, 6, 1777-1813, www.atmos-chem-phys.net/6/1777/2006/.
139. Kinne, S., M. Schulz, C. Textor, S. Guibert, Y. Balkanski, S. E. Bauer, T. Berntsen, T. F. Berglen, O. Boucher, M. Chin, W. Collins, F. Dentener, T. Diehl, R. Easter, J. Feichter, D. Fillmore, S. Ghan, P. Ginoux, S. Gong, A. Grini, J. Hendricks, M. Herzog, L. Horowitz, I. Isaksen, T. Iversen, A. Kirkevåg, S. Kloster, D. Koch, J. E. Kristjánsson, M. Krol, A. Lauer, J. F. Lamarque, G. Lesins, X. Liu, U. Lohmann, V. Montanaro, G. Myhre, J.E. Penner, G. Pitari, S. Reddy, O. Seland, P. Stier, T. Takemura, and X. Tie, 2006: An AeroCom initial assessment – optical properties in aerosol component modules of global models, *Atmos. Chem. Phys.*, 6, 1815-1834, www.atmos-chem-phys.net/6/1815/2006/.
140. Guo, H., J. E. Penner, M. Herzog, and S. Xie, 2007: Investigation of the first and second aerosol indirect effects using data from the May 2003 Intensive Operational Period at the Southern Great Plains, *J. Geophys. Res.*, 112, D15206, doi:10.1029/2006JD007173.
141. Dentener, F., S. Kinne, T. Bond, O. Boucher, J. Cofala, S. Generoso, P. Ginoux, S. Gong, J.J. Hoelzemann, A. Ito, L. Marelli, J.E. Penner, J.-P. Putaud, C. Textor, M. Schulz, G.R. van der Werf, and J. Wilson, 2006: Emissions of primary aerosols and precursor gases in the years 2000 and 1750: Prescribed data sets for AeroCom, *Atmos. Chem. Phys.*, 6, 4321-4344, www.atmos-chem-phys.net/6/4321/2006/.
142. Liu, X., J. E. Penner, S. J. Ghan, and M. Wang, 2007: Inclusion of Ice Microphysics in the NCAR Community Atmospheric Model Version 3 (CAM3), *J. Clim.*, 20, 4520-4547.
143. Textor, C., M. Schulz, S. Guibert, S. Kinne, Y. Balkanski, S. Bauer, T. Berntsen, T. Berglen, O. Boucher, M. Chin, F. Dentener, T. Diehl, J. Feichter, D. Fillmore, P. Ginoux, S. Gong, A. Grini, J. Hendricks, L. Horowitz, P. Huang, I. Isaksen, T. Iversen, S. Kloster, D. Koch, A. Kirkevåg, J. E. Kristjánsson, M. Krol, A. Lauer, J.F. Lamarque, X. Liu, V. Montanaro, G. Myhre, J. E. Penner, G. Pitari, S. Reddy, Ø. Seland, P. Stier, T. Takemura, X. Tie, 2007: The effect of harmonized emissions on aerosol properties in global models - an AeroCom experiment, *Atmos. Chem. Phys.*, 2007, Vol.7, pp. 4489-4501, SRef-ID: 1680-7324/acp/2007-7-4489.
144. Guo, H. J. E. Penner, M. Herzog, H. Pawlowska, 2007: Examination of the aerosol indirect effect under contrasting environments during the ACE-2 experiment, *Atmos. Chem. Phys.*, 7, 535–548, <http://www.atmos-chem-phys.net/7/535/2007/acp-7-535-2007.pdf>.
145. Schulz, M., C. Textor, S. Kinne, Y. Balkanski, S. Bauer, T. Berntsen, T. Berglen, O. Boucher, F. Dentener, S. Guibert, I. S. A. Isaksen, T. Iversen, D. Koch, A. Kirkevåg, X. Liu, V. Montanaro, G. Myhre, J. E. Penner, G. Pitari, S. Reddy, Ø. Seland, P. Stier, and T. Takemura, 2006:

- Radiative forcing by aerosols as derived from the AeroCom present-day and pre-industrial simulations, *Atmos. Chem. Phys.*, 6, 5225–5246, www.atmos-chem-phys.net/6/5225/2006/.
146. Weisenstein, D. K., J. E. Penner, M. Herzog, and X. Liu, 2007: Global 2-D intercomparison of sectional and modal aerosol modules, *Atmos. Chem. Phys.*, 7, 2339-2355, www.atmos-chem-phys.net/7/2339/2007/.
 147. Neu, J., M.J. Prather, and J.E. Penner, 2007: Global atmospheric chemistry: Integrating over fractional cloud cover, *J. Geophys. Res.*, 112, D11306, doi:10.1029/2006JD008007.
 148. Ito, A., K. Sudo, H. Akimoto, S. Sillman, J.E. Penner, 2007: Global modeling analysis of tropospheric ozone and its radiative forcing from biomass burning emissions in the twentieth century, *J. Geophys. Res.*, 112, D24307, doi:10.1029/2007JD008745.
 149. Guo, H., Y. Liu, and J. E. Penner, 2008: Does the threshold representation associated with the autoconversion process matter?, *Atmos. Chem. Phys.*, 8, 1225-1230.
 150. Ito, A., J.E. Penner, M. J. Prather, C. Pires de Campos, R. A. Houghton, T. Kato, A. K. Jain, X. Yang, G. C. Hurtt, S. Frolking, M. G. Fearon, L. Parsons Chini, A. Wang and D. T. Price, 2008: Can we reconcile differences in estimates of carbon fluxes from land-use change and forestry for the 1990s?, *Atmos. Chem. Phys.*, 8, 3291-3310.
 151. Prather, M.J., J.E. Penner, J.S. Fuglestedt, A. Kurosawa, J.A. Lowe, N. Höhne, A.K. Jain, N. Andronova, L. Pinguelli, C. Pires de Campos, S.C.B. Raper, R.B. Skeie, P. A. Stott, J. van Ardenne, F. Wagner, 2009: Tracking uncertainties in the causal chain from human activities to climate change, *Geophys. Res. Lett.*, 36, L05707, doi:10.1029/2008GL036474.
 152. Bian, H., M. Chin, J. Rodriguez, H. Yu, J. E. Penner, and S. Strahan, 2009: Sensitivity of aerosol optical thickness and aerosol direct radiative effect to relative humidity, *Atmos. Chem. Phys.*, 9, 2375–2386.
 153. Ito A., S. Sillman, J. E. Penner, 2009: Global chemical transport model study of ozone response to changes in chemical kinetics and biogenic volatile organic compounds emissions due to increasing temperatures: Sensitivities to isoprene nitrate chemistry and grid resolution, *J. Geophys. Res.*, 114, D09301, doi:10.1029/2008JD011254.
 154. Penner, J. E., Y. Chen, M. Wang, and X. Liu, 2009: Possible influence of anthropogenic aerosols on cirrus clouds and anthropogenic forcing, *Atmos. Chem. Phys.*, Vol. 9, 879-896, <http://www.atmos-chem-phys.net/9/879/2009/acp-9-879-2009>.
 155. Wang, M., J.E. Penner, and X. Liu, 2009: Coupled IMPACT aerosol and NCAR CAM3 model: Evaluation of predicted aerosol number and size distribution, *J. Geophys. Res.*, 114, D06302, doi:10.1029/2008JD010459.
 156. Liu, X., J.E. Penner, and M. Wang, 2009: Influence of anthropogenic sulfate and black carbon on upper tropospheric clouds in the NCAR CAM3 model coupled to the IMPACT global aerosol model, *J. Geophys. Res.*, 114, D02304, doi:10.1029/2008JD010492.
 157. Wang, M. and J. E. Penner, 2009: Aerosol indirect forcing in a global model with particle nucleation, *Atmos. Chem. Phys.*, 9, 239-260, www.atmos-chem-phys.net/9/239/2009/.
 158. Lee, S. S., J.E. Penner, and S.M. Saleeby, 2009: Aerosol effects on liquid-water path of thin stratocumulus clouds, *J. Geophys. Res.*, 114, D07204, doi:10.1029/2008JD010513.
 159. Lee, S. S., J.E. Penner, and M. Wang, 2009: Comparison of a global-climate model simulation to a cloud-system resolving model simulation for long-term thin stratocumulus clouds, *Atmos. Chem. Phys.*, 9, 6497-6520.
 160. Wang, M. and J. E. Penner, 2010: Cirrus clouds in a global climate model with a statistical cirrus cloud scheme, *Atmos. Chem. Phys.*, 10, 5449-5474.
 161. Su, H., J. H. Jiang, X. Liu, J. E. Penner, W. G. Read, S. Massie, M. R. Schoeberl, P. Colarco, N. J. Livesey, M. L. Santee, 2011: Observed increase of TTL temperature and water vapor in polluted clouds over Asia, *J. Clim.*, 24, 2728-2736.
 162. Lee, D.S., G. Pitari, V. Grewe, K. Gierens, J.E. Penner, A. Petzold, M.J. Prather, U. Schumann, A. Bais, T. Berntsen, D. Iachetti, L.L. Lim and R. Sausen, 2010: Transport impacts on atmosphere and climate: Aviation, *Atmos. Env.*, 44, (37), 4678 - 4734.
 163. Xu, L., J.E. Penner, S. Metzger, and J. Lelieveld, 2009: A comparison of water uptake by aerosols using two thermodynamic models, *Atmos. Chem. Phys. Disc.*, <http://www.atmos-chem-phys-discuss.net/9/9551/2009/acpd-9-9551-2009-discussion.html>, revised March 2010.
 164. Quaas, J., Y. Ming, S. Menon, T. Takemura, M. Wang, J. E. Penner, A. Gettelman, U. Lohmann, N. Bellouin, O. Boucher, A. M. Sayer, G. E. Thomas, A. McComiskey, G. Feingold, C. Hoose, J.

- E. Kristjánsson, X. Liu, Y. Balkanski, L. J. Donner, P. A. Ginoux, P. Stier, J. Feichter, I. Sednev, S. E. Bauer, D. Koch, R. G. Grainger, A. Kirkevåg, T. Iversen, Ø. Seland, R. Easter, S. J. Ghan, P. J. Rasch, H. Morrison, J.-F. Lamarque, M. J. Iacono, S. Kinne, and M. Schulz, 2009: Aerosol indirect effects – general circulation model intercomparison and evaluation with satellite data, *Atmos. Chem. Phys.*, 9, 8697-8717.
165. Koch, D., M. Schulz, S. Kinne, T. C. Bond, Y. Balkanski, S. Bauer, T. Berntsen, O. Boucher, M. Chin, A. Clarke, N. De Luca, F. Dentener, T. Diehl, O. Dubovik, R. Easter, D. W. Fahey, J. Feichter, D. Fillmore, S. Freitag, S. Ghan, P. Ginoux, S. Gong, L. Horowitz, T. Iversen, A. Kirkevåg, Z. Klimont, Y. Kondo, M. Krol, X. Liu, C. McNaughton, R. Miller, V. Montanaro, N. Moteki, G. Myhre, J. E. Penner, Ja. Perlwitz, G. Pitari, S. Reddy, L. Sahu, H. Sakamoto, G. Schuster, J. P. Schwarz, Ø. Seland, J. R. Spackman, P. Stier, N. Takegawa, T. Takemura, C. Textor, J. A. van Aardenne, and Y. Zhao, 2009: Evaluation of black carbon estimations in global aerosol models, *Atmos. Chem. Phys.*, 9, 9001-9026.
166. Andronova, N., J. E. Penner, T. Wong, 2009: Observed and modeled evolution of the tropical mean radiation budget at the top of the atmosphere since 1985, *J. Geophys. Res.*, 114, D14106, doi:10.1029/2008JD011560.
167. Lee, S. S. and J. E. Penner, 2010: Comparison of a global-climate model to a cloud-system resolving model for the long-term response of thin stratocumulus clouds to preindustrial and present-day aerosol conditions, *Atmos. Chem. Phys.*, 10, 6371-6389.
168. Lee, S. S. and J. E. Penner, 2009: Factors determining the effect of aerosols on cloud mass and the dependence of these factors on liquid-water path, *Atmos. Chem. Phys. Discuss.*, 9, 19313-19350.
169. Lee, S. S. and J. E. Penner, 2009: Impact of solar radiation on aerosol-cloud interactions in thin stratocumulus clouds, *Atmos. Chem. Phys. Discuss.*, 9, 23791-23833.
170. Lee, S. S. and J. E. Penner, 2010: Aerosol effects on ice clouds: Can the traditional concept of aerosol indirect effects be applied to aerosol-cloud interactions in cirrus clouds?, *Atmos. Chem. Phys.*, 10, 10345-10358.
171. Lee, S. S., L.J. Donner, and J.E. Penner, 2010: Thunderstorm and stratocumulus: how does their contrasting morphology affect their interactions with aerosols?, *Atmos. Chem. Phys.*, 10, 6819-6837.
172. Penner, J.E., M.J. Prather, I.S.A. Isaksen, J.S. Fuglestedt, Z. Klimont, and D.S. Stevenson, 2010: Short-lived uncertainty? *Nature Geoscience*, Vol. 3, No. 9, 587-588, 2010.
173. Roesler, E. and J. E. Penner, 2010: Can global models ignore the chemical composition of aerosols?, *Geophys. Res. Lett.*, 37, L24809, doi:10.1029/2010GL044282.
174. Huneus, N., M. Schulz, Y. Balkanski, J. Griesfeller, J. Prospero, S. Kinne, S. Bauer, O. Boucher, M. Chin, F. Dentener, T. Diehl, R. Easter, D. Fillmore, S. Ghan, P. Ginoux, A. Grini, L. Horowitz, D. Koch, M. C. Krol, W. Landing, X. Liu, N. Mahowald, R. Miller, J.-J. Morcrette, G. Myhre, J. Penner, J. Perlwitz, P. Stier, T. Takemura, and C. S. Zender, 2011: Global dust model intercomparison in AeroCom phase I, *Atmos. Chem. Phys.*, Vol.11, 7781-7816.
175. Penner, J.E., L. Xu, M. Wang, 2011: Satellite methods underestimate indirect climate forcing by aerosols, *Proc. Nat. Acad. Sci.*, 108, 13404-13408.
176. Lee, S. S. and J. E. Penner, 2011: Dependence of aerosol-cloud interactions in stratocumulus clouds on liquid-water path, *Atmos. Environ.*, 45, 6337-6346.
177. Lin, G., J. E. Penner, S. Sillman, D. Taraborrelli, J. Lelieveld, 2012: Global modeling of SOA formation from dicarbonyls, epoxides, organic nitrates and peroxides, *Atmos. Chem. Phys.*, 12, 4743-4774.
178. Penner, J.E., C. Zhou, L. Xu, M. Wang, 2011: Reply to Quaas et al.: Can satellites be used to estimate indirect climate forcing by aerosols?, *Proc. Nat. Acad. Sci.*, Proc., www.pnas.org/cgi/doi/10.1073/pnas.1116135108.
179. Yun, Y. and J. E. Penner, 2012: Global model comparison of heterogeneous ice nucleation parameterizations in mixed-phase clouds, *J. Geophys. Res.*, 117, D07203, DOI: 10.1029/2011JD016506.
180. Ito, A., J. F. Kok, Y. Feng, and J. E. Penner, 2012: Does a theoretical estimation of the dust size distribution at emission suggest more bioavailable iron deposition? *Geophys. Res. Lett.*, doi:10.1029/2011GL050455, 39, 5807-5807.
181. Zhou, C., J. E. Penner, Y. Ming, and X. Huang, 2012: Aerosol forcing based on CAM5 and AM3

- meteorological fields, *Atmos. Phys. Chem.*, 12, 9629-9652.
182. Flanner, M., Luo, X., C. Zhou, and J. E. Penner, 2012: Enhanced solar energy absorption by internally-mixed black carbon in snow grains, *Atmos. Chem. Phys.*, 12, 4699-4721.
 183. Penner, J. E., C. Zhou, and L. Xu, 2012: Consistent estimates from satellites and models for the first aerosol indirect forcing, *Geophys. Res. Lett.*, 39, L13810, doi:10.1029/2012GL051870.
 184. Xu, L. and J. E. Penner, 2012: Global simulations of nitrate and ammonium aerosols and their radiative effects, *Atmos. Chem. Phys.*, 12, 9479-9504.
 185. Chen, X., N. Andronova, B. van Leer, J. E. Penner, S.-J. Lin, J. P. Boyd, C. Jablonowski, 2013: A control-volume model of the compressible Euler equations with a vertical Lagrangian coordinate, *Mon. Weath. Rev.*, 141, 2526-2544.
 186. Metzger, S., B. Steil, L. Xu, J. E. Penner, and J. Lelieveld, 2012: New representation of water activity based on a single solute specific constant to parameterize the hygroscopic growth of aerosols in atmospheric models, *Atmos. Chem. Phys.*, 12, 5429-5446.
 187. Metzger, S., B. Steil, L. Xu, J. E. Penner, and J. Lelieveld, 2011: Description of EQSAM4: gas-liquid solid partitioning model for global simulations, *Geosci. Model Dev. Discuss.*, 4, 2791-2847.
 188. Bisiaux, M. M., R. Edwards, J. R. McConnell, M. R. Albert, H. Anschutz, T. A. Neumann, E. Isaksson and J. E. Penner, 2012: Variability of black carbon deposition to the East Antarctic Plateau, A.D. 1800-2000, *Atmos. Chem. Phys.*, 12, 3799-3808.
 189. Bisiaux, M. M., R. Edwards, J. R. McConnell, M. A. J. Curran, T. D. Van Ommen, A. M. Smith, T. A. Neumann, D. R. Pasteris, J. E. Penner and K. Taylor, 2012: Changes in black carbon deposition to Antarctica from two high-resolution ice core records, 1850-2000 AD, *Atmos. Chem. Phys.*, 12, 4107-4115.
 190. Yun, Y., J. E. Penner, and O. Popovicheva, 2013: The effects of hygroscopicity of fossil fuel combustion aerosols on mixed-phase clouds, *Atmos. Chem. Phys.*, 13, 4339-4348.
 191. Wang, M., S. Ghan, X. Liu, T. L' Ecuyer, K. Zhang, H. Morrison, M. Ovchinnikov, R. Easter, R. Marchand, D. Chand, Y. Qian, and J. E. Penner, 2012: Constraining cloud lifetime effects of aerosols using A-Train satellite observations, *Geophys. Res. Lett.*, 39, L15709, doi:10.1029/2012GL052204.
 192. Samset, B. H., G. Myhre, M. Schulz, Y. Balkanski, S. Bauer, N. Bellouin, T. K. Berntsen, M. Chin, T. Diehl, R. E. Easter, S. J. Ghan, T. Iversen, A. Kirkevåg, J.-F. Lamarque, G. Lin, J. E. Penner, Ø. Seland, R. B. Skeie, P. Stier, T. Takemura, K. Tsigaridis, K. Zhang, 2013: Black carbon vertical profiles strongly affect its radiative forcing uncertainty, *Atmos. Chem. Phys.*, 13, 2423-2434, doi:10.5194/acp-13-2423-2013.
 193. Lin, G., S. Sillman, J. E. Penner, and A. Ito, 2014: Global modeling of SOA: The use of different mechanisms for aqueous-phase formation, *Atmos. Chem. Phys.*, 14, 5451-5475, doi:10.5194/acp-14-5451-2014.
 194. Stier, P., N. A. J. Schutgens, N. Bellouin, H. Bian, O. Boucher, M. Chin, S. Ghan, N. Huneus, S. Kinne, G. Lin, G. Myhre, J. E. Penner, C. Randles, B. Samset, M. Schulz, H. Yu, and C. Zhou, 2013: Host Model Uncertainties in Aerosol Radiative Forcing Estimates: Results from the AeroCom Prescribed Intercomparison Study, *Atmos. Chem. Phys.*, 13, 3245-3270.
 195. Myhre, G., B. H. Samset, M. Schulz, Y. Balkanski, S. Bauer, T. K. Berntsen, H. Bian, N. Bellouin, M. Chin, T. Diehl, R. C. Easter, J. Feichter, S. J. Ghan, D. Hauglustaine, T. Iversen, S. Kinne, A. Kirkevåg, J.-F. Lamarque, G. Lin, X. Liu, M. T. Lund, G. Luo, X. Ma, T. van Noije, J. E. Penner, P. J. Rasch, A. Ruiz, Ø. Seland, R. B. Skeie, P. Stier, T. Takemura, K. Tsigaridis, P. Wang, Z. Wang, L. Xu, H. Yu, F. Yu, J.-H. Yoon, K. Zhang, H. Zhang, and C. Zhou, 2013: Radiative forcing of the direct aerosol effect from AeroCom Phase II simulations, *Atmos. Chem. Phys.*, 13, 1853-1877.
 196. Yi, B., P. Yang, K.-N. Liou, P. Minnis, and J. E. Penner, 2012: Simulation of the global contrail radiative forcing: A sensitivity analysis, *Geophys. Res. Lett.*, 39, L00F03, DOI: 10.1029/2012GL054042.
 197. Zhou, C., J. E. Penner, M. G. Flanner, M. M. Bisiaux, R. Edwards, J. R. McConnell, 2012: Transport of black carbon to polar regions: Sensitivity and forcing by black carbon, *Geophys. Res. Lett.*, 39, L22804, doi:10.1029/2012GL053388.
 198. Renno, N.O., E. Williams, D. Rosenfeld, D. G. Fischer, J. Fischer, T. Kremic, A. Agrawal, M. O. Andreae, R. Bierbaum, R. Blakeslee, A. Boerner, N. Bowles, H. Christian, A. Cox, J. Dunion,

- A. Horvath, X. Huang, A. Khain, S. Kinne, M. C. Lemos, J. E. Penner, U. Pöschl, J. Quaas, E. Seran, B. Stevens, T. Walati, and T. Wagner, 2013: CHASER: An Innovative Satellite Mission Concept to Measure the Effects of Aerosols on Clouds and Climate, *Bull. Atm. Meteorol. Soc.*, 94, 685, 10.1175/BAMS-D-11-00239.1.
199. Yun, Y. and J. E. Penner, 2013: An evaluation of the potential radiative forcing and climatic impact of marine organic aerosols as heterogeneous ice nuclei, *Geophys. Res. Lett.*, 40, 4121-4126.
200. Ito, A., G. Lin, J. E. Penner, 2014: Reconciling modeled and observed atmospheric deposition of soluble organic nitrogen at coastal locations, *Global Biogeochem. Cycles* 28, doi:10.1002/2013GB004721.
201. Lin, G., J. E. Penner, M. G. Flanner, S. Sillman, L. Xu, and C. Zhou, 2014: Radiative forcing of organic aerosol in the atmosphere and on snow: Effects of SOA and brown carbon, *J. Geophys. Res. Atmos.*, 119, 7453–7476, doi:10.1002/2013JD021186.
202. Jiao, C., M. G. Flanner, S. E. Bauer, N. Bellouin, T. Bernsten, H. Bian, M. Chin, N. De Luca, T. Diehl, J. Feichter, S. Ghan, T. Iversen, S. Kinne, A. Kirkevåg, D. Koch, X. Liu, G. Mann, G. Myhre, T. van Noije, J. E. Penner, G. Pitari, M. Schulz, Ø. Seland, R. B. Skeie, P. Stier, T. Takemura, K. Tsigaridis, Y. Yun, and K. Zhang, 2014: An AeroCom assessment of black carbon in Arctic snow and sea ice, *Atmos. Chem. Phys.*, 14, 2399-2417, doi:10.5194/acp-14-2399-2014.
203. Tsigaridis, K., N. Daskalakis, M. Kanakidou, P. J. Adams, P. Artaxo, R. Bahadur, Y. Balkanski, S. E. Bauer, N. Bellouin, A. Benedetti, T. Bergman, T. K. Berntsen, J. P. Beukes, H. Bian, K. S. Carslaw, M. Chin, G. Curci, T. Diehl, R. C. Easter, S. J. Ghan, S. L. Gong, A. Hodzic, C. R. Hoyle, T. Iversen, S. Jathar, J.-L. Jimenez, J. W. Kaiser, A. Kirkevåg, D. Koch, H. Kokkola, Y. H. Lee, G. Lin, X. Liu, G. Luo, X. Ma, G. W. Mann, N. Mihalopoulos, J.-J. Morcrette, J.-F. Müller, G. Myhre, S. Myriokefalitakis, S. Ng, D. O'Donnell, J. E. Penner, L. Pozzoli, K. J. Pringle, L. M. Russell, M. Schulz, J. Sciare, Ø. Seland, D. T. Shindell, S. Sillman, R. B. Skeie, D. Spracklen, T. Stavrou, S. D. Steenrod, T. Takemura, P. Tiitta, S. Tilmes, H. Tost, T. van Noije, P. G. van Zyl, K. von Salzen, F. Yu, Z. Wang, Z. Wang, R. A. Zaveri, H. Zhang, K. Zhang, Q. Zhang, and X. Zhang, 2014: The AeroCom evaluation and intercomparison of organic aerosol in global models, *Atmos. Chem. Phys.*, 14, 10845-10895.
204. Komurcu, M., T. Storelvmo, I. Tan, U. Lohmann, Y. Yun, J. E. Penner, Y. Wang, X. Liu, T. Takemura, 2014: Inter-comparison of the cloud water phase among global climate models, *J. Geophys. Res.*, 119, 3372–3400, doi:10.1002/2013JD021119.
205. Samset, B. H., G. Myhre, A. Herber, Y. Kondo, S. Li, N. Moteki, M. Koike, N. Oshima, J. P. Schwarz, Y. Balkanski, S. E. Bauer, N. Bellouin, T. K. Berntsen, H. Bian, M. Chin, T. Diehl, R. C. Easter, S. J. Ghan, T. Iversen, A. Kirkevåg, J.-F. Lamarque, G. Lin, X. Liu, J. E. Penner, M. Schulz, Ø. Seland, R. B. Skeie, P. Stier, T. Takemura, K. Tsigaridis, K. Zhang, 2014: Modeled black carbon radiative forcing and atmospheric lifetime in AeroCom Phase II constrained by aircraft observations, *Atmos. Chem. Phys.*, 14, 12465-12477.
206. Zhou, C. and J. E. Penner, 2014: Aircraft soot indirect effect on large-scale cirrus clouds: Is the indirect forcing by aircraft soot positive or negative?, *J. Geophys. Res.*, 119, doi:10.1002/2014JD021914.
207. Lin, G., J. E. Penner, and H. L. Clack, 2014: Radiative forcing associated with particulate carbon emissions resulting from the use of mercury control technology, *Environ. Sci. Technol.*, 48, 10519-10523.
208. Brasseur, G. P., M. Gupta, B. E. Anderson, S. Balasubramanian, S. Barrett, D. Duda, G. Fleming, P. M. Forster, J. Fluglestvedt, A. Gettelman, R. N. Halthore, S. D. Jacob, M. Z. Jacobson, A. Khodayari, K.-N. Liou, M. T. Lund, R. C. Miake-Lye, P. Minnis, S. Olsen, J. E. Penner, R. Prinn, U. Schumann, H. B. Selkirk, A. Sokolov, N. Unger, P. Wolfé, H.-W. Wong, D. W. Wuebbles, B. Yi, P. Yang, and C. Zhou, 2016: Impact of Aviation on Climate: FAA's Aviation Climate Change Research Initiative (ACCRI) Phase II, *Bull. Am. Meteor. Soc.*, 561-583.
209. Schumann, U., J. E. Penner, Y. Chen, C. Zhou, and K. Graf, 2015: Dehydration effects from contrails in a coupled contrail-climate model, *Atmos. Chem. Phys.*, 15, 11179-11199.
210. Penner, J. E., C. Zhou, and X. Liu, 2015: Can cirrus cloud seeding be used for geoengineering?, *Geophys. Res. Lett.*, 42, 8777-8782.

211. Ito, A., G. Lin, and J. E. Penner, 2015: Global modeling of soluble organic nitrogen from open biomass burning, *Atm. Environ.*, 121, 103-112.
212. Lin, G., J. E. Penner, and C. Zhou, 2016: How will SOA change in the future? *Geophys. Res. Lett.*, 43, 1718-1726.
213. Zhou, C., J. E. Penner, G. Lin, X. Liu, and M. Wang, 2015: What controls the low ice number concentration in the upper troposphere?, *Atmos. Chem. Phys.*, 16, 12411-12424.
214. Seinfeld, J. H., C. S. Bretherton, K. S. Carslaw, H. Coe, P. J. DeMott, E. J. Dunlea, G. Feingold, S. J. Ghan, A. B. Guenther, R. A. Kahn, I. P. Kraucunas, S. M. Kreidenweis, M. J. Molina, A. Nenes, J. E. Penner, K. A. Prather, V. Ramanathan, V. Ramaswamy, P. J. Rasch, A. R. Ravishankara, D. Rosenfeld, G. Stephens, R. Wood, 2016: Improving our fundamental understanding of the role of aerosol-cloud interactions in the climate system, *Proc. Nat. Acad. Sci.*, 113, 5781-5790.
215. Metzger, S., B. Steil, M. Abdelkader, K. Klingmuller, L. Xu, J. E. Penner, C. Fountoukis, A. Nenes, and J. Lelieveld, 2016: Aerosol water parameterization: A single parameter framework, *Atmos. Chem. Phys.*, 16, 7213-7237.
216. Ghan, S. J., and J. E. Penner, 2016: ARM-led improvements in aerosols in climate and climate models, *Bulletin of the American Meteorological Society*, 57, 27.1-27.12, DOI: 10.1175/AMSMONOGRAPHIS-D-15-0033.1
217. Simmons, A., J.-L. Fellous, V. Ramaswamy, K. Trenberth, and the Study Team of the Committee on Space Research: G. Asrar, M. Balmaseda, J.P. Burrows, P. Ciais, M. Drinkwater, P. Friedlingstein, N. Gobron, E. Guilyardi, D. Halpern, M. Heimann, J. Johannessen, P. F. Levelt, E. Lopez-Baeza, J. Penner, R. Scholes, and T. Shepherd, 2016: Observation and Integrated Earth-system Science: A roadmap for 2016-2025, *Advances in Space Research*, 57, 2037-2103, doi: 10.1016/j.asr.2016.03.008.
218. Zhou, C. and J. E. Penner, 2017: Why do GCMs overestimate the aerosol cloud lifetime effect? A case study comparing CAM5 and a CRM, *Atmos. Chem. Phys.*, 17, 21–29, doi:10.5194/acp-17-21-2017.
219. Sand, M., B. H. Samset, Y. Balkanski, S. Bauer, N. Bellouin, T. K. Berntsen, H. Bian, M. Chin, T. Diehl, R. Easter, S. J. Ghan, T. Iversen, A. Kirkevåg, J.-F. Lamarque, G. Lin, X. Liu, G. Luo, G. Myhre, T. von Noije, J. E. Penner, M. Schultz, Ø. Seland, R. B. Skeie, P. Stier, T. Takemura, K. Tsigaridis, F. Yu, K. Zhang, and H. Zhang, 2016: Aerosols at the Poles: An AeroCom Phase II multi-model evaluation, submitted to *Aerosol Chemistry and Physics Discussions*, MS No.: acp-2016-1120.
220. Wu, C., X. Liu, M. Diao, K. Zhang, A. Gettelman, Z. Lu, J. E. Penner, and Z. Lin, 2017: Direct comparisons of ice cloud macro- and microphysical properties simulated by the Community Atmosphere Model version 5 with HIPPO aircraft observations, *Atmos. Chem. Phys. Discuss.*, doi:10.5194/acp-2016-1106, in review, 2017.

Other Publications and Reports

1. Penner, J.E., 1979: Trends in other species, position paper for the NASA Stratospheric Workshop, June 4–8, 1979, Harper's Ferry, West Virginia, LLNL Report No. UCRL-82576.
2. Luther, F.M., J.S. Chang, W.H. Duewer, H.W. Ellsaesser, J.E. Penner, R.L. Tarp, and D.J. Wuebbles, 1979: Annual report of Lawrence Livermore Laboratory to the FAA on the High Altitude Pollution Program—1979, LLNL Report No. UCRL-50042-79.
3. Luther, F.M., J.S. Chang, W.H. Duewer, J.E. Penner, R.L. Tarp and D.J. Wuebbles, 1979: Potential environmental effects of aircraft emissions, LLNL Report No. UCRL-52861.
4. Penner, J.E., 1980: Proposed LIRAQ Reaction Set, Report for limited distribution and comment, UASG 80-22.
5. Luther, F.M., J.S. Chang, J.E. Penner and D.J. Wuebbles, 1980: Ozone Depletion Calculations, *Proceedings NATO Advanced Research Institute on the Effects of Solar Ultraviolet Radiation on Marine Ecosystems*, Copenhagen, Denmark, July 28-31, 1980. (Also UCRL-85814.)
6. Penner, J.E., 1980: Increases in CO₂ and chlorofluoromethanes: Coupled effects on stratospheric ozone, *Proceedings of the Quadrennial International Ozone Symposium*, August 4–9, 1980, Boulder, CO. (Also UCRL-84058.)

7. Wuebbles, D.J., J.S. Chang and J.E. Penner, 1980: The LLNL one-dimensional transport kinetics model, report used for discussion at the December 1980 NASA workshop on model predictions.
8. Luther, F.M., principal investigator, 1980: Annual report of the Lawrence Livermore National Laboratory to the FAA on the high altitude pollution program—1980, LLNL Report No. UCRL-50042-80.
9. Wuebbles, D.J., J.E. Penner, and R.L. Tarp, 1981: One-dimensional model update, LLNL Report No. UCID-18892.
10. Penner, J.E., and J.J. Walton, 1982: Air Quality Model Update, LLNL Report No. UCID-19300.
11. Penner, J.E., 1982: A test of the ‘hocky stick’ assumption for O₃ trend determination.
12. Penner, J.E., 1982: Progress Report on the Work for others agreement with the Bay Area Air Quality Management District modification #3, LLNL Report No. UCID-19555.
13. Penner, J.E., and W.M. Porch, 1983: Modeling urban air pollution, *Energy and Technology Review*, pp. 1–16.
14. Penner, J.E., J.J. Walton and R. Duker, 1983: Application and verification of a regional air quality model, LLNL Report No. UCRL-84389.
15. Penner, J.E., and L.C. Haselman, Jr., 1984: Smoke inputs to climate models: Optical properties and height distribution, *Proceedings of the Fourth International Conference on Nuclear War*, August 19–23, 1984, Erice, Sicily. (Also UCRL-92523.)
16. Penner, J.E., 1983: Tropospheric response to a nuclear exchange, *Proceedings of the Third International Conference on Nuclear War*, August 19–23, 1983, Erice, Sicily. (Also UCRL-89956.)
17. Penner, J.E., L.C. Haselman, Jr. and L.L. Edwards, 1985: The dynamics and microphysics of large-scale fires, published in the *Proceedings of the 1985 Annual Meeting of the American Meteorological Society*. (Also UCRL-91728.)
18. Penner, J.E., and M.C. MacCracken, 1985: Environmental consequences of nuclear war: FY-1985 progress report to the Institutional Research and Development program, UASG 85-28.
19. MacCracken, M.C., and J.E. Penner, 1986: Environmental consequences of nuclear war: FY-1986 progress report to the Institutional Research and Development program and D-division, Defense Program, UASG 86-16.
20. Porch, W.M., and J.E. Penner, 1986: Aerosol size distribution evolution in large area fire plumes, published in the *Proceedings of the Air Pollution Control Association Conference*, September 7–19, 1986, Jackson, Wyoming. (Also UCRL-94293 Rev. 1.)
21. Penner, J.E., and L.L. Edwards, 1986: Nucleation scavenging of smoke and aerosol particles in convective updrafts, *Proceedings of the Conference on Cloud Physics, American Meteorological Society*, September 22–26, 1986, Snowmass, Colorado, pp. 3–86. (Also UCRL-93800.)
22. Penner, J.E., 1986: The effect of aging on smoke optical properties and scavenging characteristics, published in the *Proceedings of the DNA workshop on Smoke Emission and Properties*, November 13–14, 1986, Gaithersburg, MD. (Also UCRL-95645.)
23. Porch, W.M., C.S. Atherton, and J.E. Penner, 1987: Atmospheric optical effect of aerosols in large fires, published in the *Proceedings of the Ninth Conference on Fire and Forest Meteorology*, American Meteorological Society, April 21–24, 1987, San Diego, CA. (Also UCRL-95060.)
24. MacCracken, M.C., and J.E. Penner, 1987: Global effects: Progress report for FY-87 and program plan for FY-88, UASG 87-14.
25. MacCracken, M.C., and J.E. Penner, 1987: Under-examined aspects of the potential environmental effects of nuclear war, LLNL Report No. UCID-21111.
26. Penner, J.E., and R.P. Koopman, 1988: Impact of NO_x emissions on atmospheric chemistry and dense and toxic gas modeling, short report for the State of the Laboratory article in *Energy Technology and Review*.
27. Edwards, L.L., and J.E. Penner, 1988: A parametric study of condensation growth and nucleation scavenging over large fires, *Proceedings of the 12th International Conference on Atmospheric Aerosols and Nucleation*, August 22–27, 1988, Vienna, Austria. (Also UCRL-97422.)

28. Penner, J.E., P.S. Connell, D.J. Wuebbles, and C.C. Covey, 1988: Climate change and its interactions with air chemistry: Perspectives and research needs, LLNL Report No. UCRL-21111. Executive Summary.
29. Wuebbles, D.J., and J.E. Penner, 1988: Sensitivity of urban/regional chemistry to climate change: Report of the workshop, LLNL Report No. UCRL-99436.
30. Walton, J.J., J.E. Penner, and S. Hameed, 1988: Comment on seasonal and diurnal variability of nitric acid vapor and ionic aerosol species in the remote free troposphere at Mauna Loa, HI., LLNL Report No. UCRL-99535.
31. Penner, J.E., and P.S. Connell, 1988: Pollutant transport study: Bay Area to north central coast air basin, LLNL Report No. UCID-21287 Rev. 1.
32. Radke, L.F., A.S. Ackerman, J.H. Lyons, D.A. Hegg, P.V. Hobbs, and J.E. Penner, 1989: Effects of aging on the smoke from a forest fire: Implications for the nuclear winter hypothesis, LLNL Report No. UCRL-101203.
33. Penner, J.E., 1989: Foreign trip report on the International Conference on Global and Regional Environmental Atmospheric Chemistry, UASG-89-11.
34. Penner, J.E. 1989: Cloud albedo, greenhouse effects, atmospheric chemistry, and climate change, *Proceedings of the 82nd APCA Annual Meeting and Exhibition*, June 25–30, 1989, Anaheim, California. (Also UCRL-99928.)
35. Iskander, M.F., H.Y. Chen and J.E. Penner, 1989: Light scattering and absorption of fractal agglomerates of smoke aerosols, in the *Proceedings of the 1989 SBMO International Microwave Symposium*, July 24–27, 1989, Sao Paulo, Brazil.
36. Chen, H.Y., M.F. Iskander, and J.E. Penner, 1989: Effects of cloud condensation on electromagnetic absorption, LLNL Report No. UCRL-101847.
37. Penner, J., 1989: Global-scale chemistry-climate modeling, short report for the *1989 Institutional Research and Development Annual Report*.
38. Erickson, D.J., J.J. Walton, S.J. Ghan, S. Kreidenweis, and J.E. Penner, 1989: Three-dimensional modeling of the global atmospheric sulfur cycle: The origin of cloud condensation nuclei, submitted as part of the final report to INCOR, UASG-89-44.
39. Penner, J.E., 1989: Foreign trip report on the European Aerosol Conference, UASG-89-38.
40. Penner, J.E., 1990: Global-scale climate-chemistry modeling, mid-year IR&D Review.
41. Penner, J.E., 1990: Tropospheric chemistry, microphysics, and climate change, *Energy and Technology Review*, May-June, pp. 41-48.
42. Penner, J.E. and C.S. Atherton, 1990: Foreign trip report on the International Conference on S and N Cycling in the North Atlantic Ocean's Atmosphere: Synthesis of field and modeling results, April 22–27, 1990, UASG-90-16.
43. Penner, J.E., C.S. Atherton, J.J. Walton, and S. Hameed, 1990: The global cycle of reactive nitrogen, published in the *Proceedings of the International Conference on Global and Regional Environmental Atmospheric Chemistry*, L. Newman, W. Wang, and C.S. Kiang, Eds., Beijing, China, May 3–10, 1989, pp. 264–279, U.S. Dept. of Energy, available from NTIS, 1990. (Also UCRL-JC-104052.)
44. Penner, J.E., C.S. Atherton, and J.J. Walton, 1990: Tropospheric nitrogen: The influence of anthropogenic sources on distributions and deposition, prepared as a final report to the Environmental Protection Agency, LLNL Report No. UCRL-CR-104490.
45. Penner, J.E., C.S. Atherton, and J.J. Walton, 1990: Tropospheric nitrogen: The influence of anthropogenic sources on distributions and deposition, prepared as a final report to the Environmental Protection Agency, LLNL Report No. UCRL-CR-104490. Executive Summary.
46. Chuang, C.C, J.E. Penner, L.L. Edwards, and M.M. Bradley, 1990: The effects of entrainment on nucleation scavenging, published in the *Proceedings of the AMS Conference on Cloud Physics*, July 23–27, 1990, San Francisco, CA, pp. 222–225. (Also UCRL-JC-103959.)
47. Penner, J.E., 1990: Global tropospheric chemistry modeling, *Proceedings of the DOE Atmospheric Chemistry Program Review* by the National Academy of Sciences Committee on Atmospheric Chemistry, September 25–26, 1990, Washington, D.C. (Also UCRL-JC-104784.)
48. Penner, J.E., 1990: Global-scale chemistry-climate modeling, LLNL 1990 issue of the *Exploratory Research and Development Report*.

49. Chuang, C. and J. Penner, 1990: The relationship between aerosol and drop size distributions in the marine atmosphere, presented at the 1990 Fall Meeting of the American Geophysical Union, San Francisco, California, Dec 3–7, 1990. (Also UCRL-JC-105008.)
50. Penner, J.E., J.J. Walton, and B.C. Graboske, 1991: The effects of climate change on the nitrogen cycle and acid deposition, *Proceedings of the Seventh Conference on Application of Air Pollution Meteorology with AWMA*, January 4–8, 1991, New Orleans, Louisiana, pp. 5–7. (Also UCRL-JC-106083.)
51. Penner, J.E., 1990: Global tropospheric chemistry modeling in *Research Activities in Atmospheric and Oceanic Modelling*, Report No. 14, Ed. by G.J. Boer, World Meteorological Organization, pp. 7.11–7.14. (Also UCRL-JC-106090.)
52. Penner, J.E. and D.J. Wuebbles, 1990: Foreign trip report on the World Climate Research Program, Scientific Symposium on Global Trace Transport Models, Bermuda, December 10-14, 1990, UASG-90-39.
53. DOE Laboratories, 1990: Review and comments of the Earth Observing System (EOS).
54. Atherton, C.S., J.E. Penner, J.J. Walton, and S. Hameed, 1991: Wet and dry nitrogen deposition: Results from a global, three-dimensional chemistry-transport-deposition model, submitted as final report to the U.S. E.P.A., July 1991. (Also UCRL-JC-103403 Rev 1.)
55. Atherton, C.S., J.E. Penner, and J.J. Walton, 1991: The role of lightning in the tropospheric nitrogen budget: Model investigations, LLNL Report UCRL-JC-107223.
56. Penner, J.E., 1991: Foreign trip report on the 4th International Conference on Carbonaceous Particles in the Atmosphere, Vienna, Austria, March 30 - April 7, 1991, UASG-91-04.
57. Dignon, J., J.E. Penner, C.S. Atherton, and J.J. Walton, 1991: Impact of reactive nitrogen emissions from fossil fuel combustion and biomass burning on atmospheric chemistry. In *Energy and Environment*, 1991, ed. E. Kainlauri, A. Johannson, I. Kurki-Suonio, M. Geshwiler, pp. 101-109, American Society of Heating, Refrigeration and Air-Conditioning Engineers, Atlanta, GA. (Also UCRL-JC-108038-Rev. 1.)
58. WMO Report: World Meteorological Organization 1991: Report of the WMO meeting of experts on the atmospheric part of the joint U.N. response to the Kuwait oilfield fires, Geneva, 27–30 April 1991.
59. Galloway, J.N., J.M. Prospero, H. Rodhe, R.S. Artz, C.S. Atherton, Y.J. Balkanski, H.G. Bingemer, R.A. Brost, S. Burgermeister, G.R. Carmichael, J.S. Chang, R.J. Charlson, S. Cober, W.G. Ellis, Jr., C.J. Fischer, J.M. Hales, D.R. Hastie, T. Iversen, D.J. Jacob, K. John, J.E. Johnson, P.S. Kasibhatla, J. Langner, J. Leliveld, H. Levy, II, F. Lipshutz, J.T. Merrill, A.F. Michaels, J.M. Miller, J.L. Moody, J.E. Penner, J. Pinto, A.A.P. Pszenny, P.A. Spiro, L. Tarrason, S.M. Turner, and D.M. Whelpdale, 1991: *Sulfur and nitrogen cycling in the North Atlantic Ocean's atmosphere: Synthesis of field and modeling results*, submitted as a NOAA Technical Memo.
60. Penner, J.E., 1991: Global model simulations of the long range transport of soot and sulfur from the Kuwait oil fires, paper presented at the Expert Meeting on the Atmospheric Part of the Emergency Response to the Kuwait Oilfield Fires, World Meteorological Organization, Geneva, April 27–30, 1991. (Also UCRL-JC-107737.)
61. Dignon, J., C.S. Atherton, J.E. Penner and J.J. Walton, 1991: NO_x Pollution from biomass burning: A global study, *Proceedings of the 11th Conference on Fire and Forest Meteorology*, ed. P.L. Andrews and D.F. Potts, pp. 430-437, April 16–19, 1991, Missoula, MT, Society of American Foresters, Bethesda, MD. (Also LLNL Report UCRL-104735.)
62. Penner, J.E., T. Sullivan, 1991: Foreign trip report on participation in the World Meteorological Organization's Expert Meeting on the Atmospheric Parts of the Emergency Response to the Kuwait Oilfield fires, Geneva, Switzerland, March 30–April 7, 1991, UASG-91-6.
63. Penner, J.E. and T. Novakov, 1992: Effects of black carbon aerosols from combustion on reflected solar radiation by anthropogenic sulfate aerosols, LLNL Report UCRL-JC-110382 Rev. 1.
64. Dignon, J., J.E. Penner, C.S. Atherton and J.J. Walton, 1992: Atmospheric reactive nitrogen: A model study of natural and anthropogenic sources and the role of microbial soil emissions, Presented at the CHEMRAWN VII World Conference on the Chemistry of the Atmosphere: Its Impact on Global Change, December 2-6, 1991, Baltimore, Maryland. (Also LLNL Report UCRL-JC-107393.)

65. Penner, J.E., R.J. Charlson, J.M. Hales, N. Laulainen, R. Leifer, T. Novakov, J. Ogren and S.E. Schwartz, 1992: ARM aerosol working group report, LLNL Report UCRL-AR-110391.
66. Penner, J.E., Aerosol/climate modeling, mid-year LDRD Review, March 1992.
67. MacCracken, M.C., J.E. Penner, J. Kercher, W. Dannevik, Earth System Modeling, mid-year LDRD Review, March 1992.
68. Chuang, C.C. and J.E. Penner, 1992: Effects of anthropogenic sulfur aerosols on climate. *Nucleation and Atmospheric Aerosols*. N. Fukuta and P. E. Wagner, Eds., A. Deepak Publishing Corp., 501-504. (Also UCRL-JC-108521.)
69. Wuebbles, D. J., J. E. Penner, and D. A. Rotman, 1992: Atmospheric chemistry and climate predictability: Towards an advanced climate model, Proceedings of the CHAMMP Annual Meeting, March 16-18, 1992, Las Vegas, NV. (Also UCRL-JC-110812.)
70. Penner, J.E., 1992: Aerosol transport and global models, to be published in the proceedings of the NASA Workshop on Global Aerosols and Biogeochemistry, September 1-3, 1992, Orlando Florida. (Also UCRL-JC-111820).
71. Penner, J.E., 1992: The role of human activity and land use change in atmospheric chemistry and air quality, prepared as input to the 1991 Global Change Institute on Global Land Use/Cover Change July 21-August 10, 1991, Snowmass, CO, LLNL Report UCRL-JC-110922 Rev. 1.
72. Penner, J.E., R.J. Charlson, J.M. Hales, N. Laulainen, R. Leifer, T. Novakov, J. Ogren, L.F. Radke, S.E. Schwartz, and L. Travis, 1993: Priority recommendations for aerosol measurements in the ARM Program, LLNL Report UCRL-AR-112918.
73. Penner, J.E., Aerosol/cloud interactions in a global climate model, mid-year LDRD Review, March 1993.
74. Ghan, S.J., C.C. Chuang, and J.E. Penner, 1993: A parameterization of cloud droplet nucleation, proceedings of the Fourth Symposium on Global Change Studies, American Meteorological Society, January 17-22, 1993, Anaheim, CA, 182-187.
75. Penner, J.E., 1992: Tropospheric chemistry and climate change, in Atmospheric and Geophysical Sciences Program Report, 1990-1991, Lawrence Livermore National Laboratory Report UCRL-51444-90/91, 75-83.
76. Chuang, C.C. and J.E. Penner, 1993: Effects of anthropogenic sulfate aerosols on global radiation budget, in *Research Activities in Atmospheric and Oceanic Modelling*, CAS/JSC Working Group Numerical Experimentation, Report No. 18, January 1993, WMO/ICSU World Climate Research Programme, p. 7.15.
77. Ambrosiano, J.J., W.P. Dannevik, J. Kercher, N.L. Miller, J. Penner, and D. Rotman, 1993: Research recommendations to the EPA in support of earth system modeling activities.
78. Novakov, T., C. Rivera-Carpio, J.E. Penner, and C.F. Rogers, 1994: Anthropogenic sulfate and organic aerosols, CCN, and cloud droplet concentrations at a marine site, proceedings of the Second Symposium on Atmospheric Chemistry, American Meteorological Society, January 1994, Nashville, Tenn., xxx-xxx. (Also UCRL-JC-115308.)
79. Chuang, C.C., J.E. Penner, K.E. Taylor, and J.J. Walton, 1994: Climate effects of anthropogenic sulfate: Simulations from a coupled chemistry/climate model, proceedings of the Conference on Atmospheric Chemistry, American Meteorological Society, January 23-28, 1994, Nashville, Tenn., 170-174. (Also UCRL-JC114078).
80. Eddleman, H.E., and J.E. Penner, 1994: Carbon monoxide emissions inventory, Lawrence Livermore National Laboratory Report UCID-xxxxx.
81. Penner, J.E., Estimating Changes in Aerosol Forcing, prepared for the 1994 Global Change Institute on Integrated Assessment of Climate Change, Snowmass, CO, July 20-29, 1994.
82. Penner, J.E., 1994: Aerosols and Climate Change, A Tutorial Prepared for the AAAR Annual Meeting, Los Angeles, August 29, 1994
83. Penner, J.E., 1994: Foreign Trip Report on participation in the NATO Advanced Research Workshop on "Atmospheric Ozone as a Climate Gas", Lillehammer, Norway, 19-23 June 1994.
84. Penner, J.E., 1994: Foreign Trip Report on participation in the Dahlem Conference on Aerosol Forcing of Climate, Berlin, Germany, April 24-29, 1994.
85. Penner, J.E., 1993: Foreign Trip Report on participation in the International Global Aerosol Program planning sessions, Geneva, Switzerland, June 29-July 1, 1993.

86. Penner, J.E., 1993: Foreign Trip Report on participation in the First Scientific Conference of the International Global Atmospheric Chemistry Project (IGAC), Eilat, Israel, April 18-22, 1993
87. Penner, J.E., 1993: Foreign Trip Report on participation in the IAMAP-IAHS International Meeting, Yokohama, Japan, July 17-22, 1993.
88. Penner, J.E., 1993: Clouds, Aerosols, and Chemistry: A Global Model Perspective, prepared for the NSF Workshop on the Role of Clouds, Energy, and Water in Global Climate Change (ROCEW), Reno, Nevada, November 8-10, 1993.
89. Penner, J.E., 1994: LLNL's Efforts to Accelerate Progress Towards a Comprehensive Climate System Model, paper prepared for the NSF Workshop on Earth System Modeling, Washington D.C., May 2-4, 1994.
90. Penner, J.E., 1994: Organic Aerosols, submitted to the Dahlem Workshop on Aerosol Forcing of Climate, Berlin, April 24-29, 1994, UCRL-JC-116013.
91. Chuang, C.C. and J.E. Penner, 1994: A study of the relationship between anthropogenic sulfate and cloud drop nucleation, American Meteorological Society, 75th AMS Annual Meeting, January 15-20, 1995, Dallas, Texas.
92. Dignon, H.E. Eddleman, and J.E. Penner, 1994: A black carbon emission data base for atmospheric chemistry and climate studies, Lawrence Livermore National Laboratory Report UCRL-ID-119104.
93. Penner, J.E., 1994: Foreign trip report on participation in the Joint 8th Commission on Atmospheric Chemistry and Global Pollution Scientific Symposium and 2nd International Global Atmospheric Chemistry Scientific Conference, Fiji-Yoshida, Japan, September 5-9, 1994.
94. Ghan, S.J., C.C. Chuang, and J.E. Penner, 1994: A parameterization of cloud droplet nucleation, proceedings of the Conference on Atmospheric Chemistry, American Meteorological Society, January 23-28, 1994, Nashville, Tenn., 15-20.
95. Novakov, T., C. Rivera-Carpio, J.E. Penner, and C.F. Rogers, 1994: Anthropogenic sulfate and organic aerosols, CCN, and cloud droplet concentrations at a marine site, proceedings of the Conference on Atmospheric Chemistry, American Meteorological Society, January 23-28, 1994, Nashville, Tenn., 11-14.
96. Chang, C.C. and J.E. Penner, 1994: Effects of aerosol/cloud interactions on the global radiation budget, Global Climate Change, Science, Policy, and Mitigation Strategies, edited by C.V. Mathai and G. Stensland, proceedings of the Air and Waste Management Association International Specialty Conference, April 5-8, 1994, Phoenix, Arizona, 323-326.
97. Bergmann, D. J. Dignon, J. Penner, and J. Walton, 1994: Results from the LLNL Eulerian GRANTOUR Model for the WCRP '93 Workshop on the Parameterization of Sub-Grid Scale Tracer Transport, Lawrence Livermore National Laboratory Report UCRL-ID 116544.
98. Kreidenweis, S.M., D.Y. Harrington, J.J. Walton, and J.E. Penner, 1995: Preliminary results from a two-moment aerosol model applied to a three-dimensional model of the global sulfur cycle, Colorado State University, Department of Atmospheric Science, Paper No. 594.
99. Penner, J.E., C.C. Chuang, and C. Liou, 1996: The contribution of carbonaceous aerosols to climate change, in Nucleation and Atmospheric Aerosols 1996, M. Kulmala and P.E. Wagner, eds., Elsevier Science, Ltd., 759-769.
100. Chuang, C.C., and J.E. Penner, 1996: Impact of anthropogenic sulfur emissions on cloud-climate interactions, Lawrence Livermore National Laboratory Report UCRL-JC-123609 Ext. Abs.
101. Molenkamp, C.R. J.E. Penner, J.J. Walton, and C.J. O'Connor, 1996: Precipitation scavenging in a coupled chemistry/climate model of sulfate aerosol, Lawrence Livermore National Laboratory Report UCRL-JC-122578 Ext. Abs.
102. Molenkamp, C.R. J.E. Penner, J.J. Walton, and C.J. O'Connor, 1996: The global distribution of sulphate aerosols calculated with the GRANTOUR/ECHAM coupled model, in Nucleation and Atmospheric Aerosols 1996, M. Kulmala and P.E. Wagner, eds., Elsevier Science, Ltd., 904-906.
103. Bergmann, D.J. and J.E. Penner, 1996: A comparison of Lagrangian vs. Eulerian advection in an atmospheric chemical transport model, Lawrence Livermore National Laboratory Report UCRL-ID-124997.
104. Penner, J.E., Bergmann, D.J., Price, C., Kinnison, D., Walton, J.J., Rotman, D., Prather, M.J., Pickering, K.E., and Baughcum, S.L., A comparison of lightning and aircraft sources of NO_x

- in the upper troposphere, Proceedings of the International Colloquium on Impact of Aircraft Emissions upon the Atmosphere, October 15-18, 1996, Paris, 295-304, 1996.
105. Grant, K.E., A.S. Grossman, C.C. Chuang, and J.E. Penner, 1996: Sensitivity of aerosol radiative forcing calculations to spectral resolution, proceedings of the IRS '96 International Radiation Symposium, Fairbanks, AK, August 19-24, 1996.
 106. Molenkamp, C.R. J.E. Penner, J.J. Walton, and C.A. Atherton, 1997: The effects of cloudy/clear air mixing and droplet pH on sulfate aerosol formation in a coupled chemistry/climate global model, proceedings of the Third Conference on Atmospheric Chemistry, American Meteorological Society, Long Beach, CA, February 2-7, 1997.
 107. Rau, G.H., J.E. Penner, H.E. Eddleman, J.J. Walton, and J.K. Hobson, A model of seasonal dust transport to the ocean, internal report, 1997.
 108. Lohmann, U., J. Feichter, C.C. Chuang, J.E. Penner, 1998: Using different aerosol species for activation of cloud droplet in a general circulation model, Conf. on Cloud Physics and 14th Conf. on Planned and Inadvertent Weather Modification, 17-21 August 1998, Everett, WA, American Meteorological Society, Boston, MA.
 109. Penner, J.E., 1999: Climate change and radiative forcing by anthropogenic aerosols: A summary of current understanding, 10th Conference on Atmospheric Radiation, 28 June—2 July 1999, Madison, WI, 507-509.
 110. Penner, J.E., Chuang, C.C., and K. Grant, 1999: Climate Change and Radiative Forcing by Anthropogenic Aerosols: Research Findings During the Last 5 Years, La Jolla International School of Science, paper presented at the The Institute for Advanced Physics Studies, La Jolla, CA 92038-2946, March 29-30, 1999.
 111. Penner, J.E., A. Itoh, and S. Sillman, Interactions between CO, OH, and CH₄: Past and future scenarios, Symposium on Atmospheric Chemistry Issues in the 21st Century, 9-14 January 2000, Long Beach, CA, American Meteorological Society, Boston, MA, p. 120-121.
 112. Penner, J.E., and Y. Zhang, Projections of climate forcing by sulfate, organic aerosols, dust, and sea salt: Results from the IPCC model intercomparison workshop, Proceedings of the 11th Symposium on Global Change Studies, 9-14 January 2000, Long Beach, CA, American Meteorological Society, Boston, MA, p. 4 – 10, 2000.
 113. Chuang, C.C., J.E. Penner, and Y. Zhang, Simulations of aerosol indirect effect for IPCC emissions scenarios, Proceedings of the 11th Symposium on Global Change Studies, 9-14 January, 2000, Long Beach, CA, American Meteorological Society, Boston, MA, p. 320-323, 2000.
 114. Ito, A., S. Sillman, and J. Penner, 2000: Global modeling studies of the atmospheric chemistry for ozone, reactive nitrogen, carbon monoxide, and hydrocarbon compounds, Quadrennial Ozone Symposium, 3-8 July 2000, Sapporo, Japan.
 115. Herzog, M., J. E. Penner, J. J. Walton, S. M. Kreidenweis, D. Y. Harrington, D. K. Weisenstein, 2000: Modeling of Global Sulfate Aerosol Number Concentrations, in Nucleation and Atmospheric Aerosols 2000: 15th International Conference, B. N. Hale and M. Kulmala, eds, AIP Conference Proceedings, Vol. 534, 677-679.
 116. Zhang, Y., J.E. Penner, C.C. Chuang, B.D. Santer, K. Taylor, Changes in the vertical temperature structure associated with carbonaceous aerosols, Symposium on Global Aerosol Climatologies, January 2001, American Meteorological Society, Boston, MA, p. J1.18-J1.21, 2001.
 117. Guo, H., J. Penner, and M. Herzog, 2003: Examining the aerosol indirect effect in a cloud resolving model, Proceedings of the GCSS-ARM Workshop on the Representation of Cloud Systems in Large-Scale Model, Kananaskis, May 2002.
 118. Guo, H., J. Penner, M. Herzog, X. Liu, Comparison of the vertical velocity used to calculate the cloud droplet number concentration in a CRM and a GCM, Proceedings of the 2004 ARM Program, March 2004.
 119. Jablonowski, C., M. Herzog, J.E. Penner, R. Oehmke, Q.F. Stout, B. van Leer, Adaptive grids for weather and climate models, ECMWF Seminar Proceedings on Recent Developments in Numerical Methods for Atmospheric and Ocean Modelling, Reading, UK, 6-10 September 2004, pp. 233-250.
 120. Guo H., J.E. Penner and M. Herzog, 2005: "Examining the aerosol indirect effect in the second aerosol characterization experiment (ACE-2) with a cloud resolving model", Seventh

- Conference on Atmospheric Chemistry, 85th AMS annual meeting, P1.15, Jan.9-13, 2005, San Diego, California.
121. Guo, H., J.E. Penner, and M. Herzog, 2005: Investigation of the impact of aerosols on clouds during the May 2003 Intensive Operational Period at the Southern Great Plains, Proceedings of the 15th Atmospheric Radiation Measurement (ARM) Science Team Meeting, <http://www.arm.gov/publications/proceedings/conf15/author.stm>.
 122. Penner, J.E., M. Herzog, C. Jablonowski, B. van Leer, R. C. Oehmke, Q.F. Stout, and K. G. Powell, 2005: Development of an atmospheric climate model with self-adapting grid and physics, J. Phys: Conference Series, 16, 353-357.
 123. Guo, H., and J. E. Penner, 2006: Investigation of the aerosol indirect effect during the ARM March 2003 aerosol Intensive Operational Period at Southern Great Plains: Sensitivity Tests, Proceedings of the 16th Atmospheric Radiation Measurement (ARM) Science Team Meeting, <http://www.arm.gov/publications/proceedings/conf16/author.stm#G>.
 124. Chen, X., E. Roesler, Y. Yung, C. Zhou, and J.E. Penner, Is aerosol size or chemistry more important in determining droplet number concentrations? Presented at the Annual ARM meeting, March 26 - 30, 2007; <http://www.arm.gov/publications/proceedings/conf17/index.php?sort=author#P>
 125. Penner, J.E. and H. Guo, Examination of Aerosol Indirect Effects Under Contrasting Environments During the ACE-2 Experiment, Presented at the Annual ARM meeting, March 26 - 30, 2007; <http://www.arm.gov/publications/proceedings/conf17/index.php?sort=author#P>
 126. Wang, M. and J. E. Penner, 2007: The effect of including aerosol nucleation and coagulation in a global model, Nucleation and Atmospheric Aerosols 17th International Conference, 13-17 August, 2007, Galway, Ireland, Springer, pp. 494-498.
 127. Penner, J.E., N. Andronova, R. C. Oehmke, J. Brown, Q. F. Stout, C. Jablonowski, B.van Leer, K G. Powell, and M. Herzog, 2007: Three Dimensional Adaptive Mesh Refinement on a Spherical Shell for Atmospheric Models with Lagrangian Coordinates, Proceedings of the SciDac Conference, Journal of Physics: Conference Series, 78, 012072, doi:10.1088/1742-6596/78/1/012072, <http://www.iop.org/EJ/toc/1742-6596/78/1>.
 128. Andronova, N.G., D. R. VandenBerg, Q. F. Stout, R. Oehmke, J. E. Penner and V. Zubov, 2010: Application of 3-D Spherical Shell Adaptive Mesh Refinement to an Atmospheric Model with a Vertical Lagrangian Coordinate, Proceedings of the SciDac Conference, 2010, pp. 124-127.
 129. Chen, X., N. Andronova, B. Van Leer, J. E. Penner, S-J. Lin, J. Boyd, C. Jablonowki, Q. Stout, Efficient control-volume model of the compressible Euler equations, Proceedings of the SciDac Conference, <http://events.cels.anl.gov/scidac11/>, 2011.
 130. Schumann, U., J. E. Penner, Y. Chen, C. Zhou, and K. Graf, 2015: On dehydration effects from contrails in a coupled contrail-climate model, Proceedings of the 4th International Conference on Transport, Atmosphere, and Climate, 22-25 June 2015 in Bad Kohlgrub, Germany.

Selected Talks

- Tropospheric response to a nuclear exchange, invited paper presented at the Third International Conference on Nuclear War, Erice, Sicily, August 19–23, 1983.
- Chemical response of the troposphere to smoke, dust, smog and ozone depletion, invited paper presented at the AGU Fall Meeting, San Francisco, December 1983, UCRL-89813.
- Review of LLNL global effects program, presented to the LLNL Scientific Advisory Committee, July 1984.
- The dynamics and microphysics of large-scale fires, invited paper presented at the Third Conference on Climate Variations, American Meteorological Society, Los Angeles, CA, January 9, 1985, UCRL-91728.
- Global long term effects of a nuclear exchange, symposium presented at the University of California at Los Angeles, CA., April 9, 1985.
- Nuclear winter: Fact or fiction? Symposium presented at San Francisco State University, April 22, 1985.
- FY85 Progress Report given for the Director's Review of the global effects program in August 1985.
- Review of fuel loads in 'nuclear winter' studies, invited paper and participant at the National Academy of Sciences workshop on Nuclear Winter, Washington, D.C., January 14–15, 1986.

- Progress in developing the smoke source term for 'nuclear winter' studies: Major uncertainties. presented at the Defense Sciences Board meeting in June, 1986.
- Summary of nuclear winter research findings, symposium presented at Oak Ridge National Laboratory, July 1986.
- Nuclear winter spinoffs, presented for the DOE/OHER Program Directors Meeting, September 1986.
- Nuclear winter: Fact or fiction?, Department of Applied Sciences Colloquium, January 27, 1987.
- Nuclear winter smoke: Injection, interactions, and removal, invited paper presented at the annual meeting of the American Association for the Advancement of Science, February 14–20, 1987, Chicago, IL.
- Nuclear winter: Fact or fiction?, Oceanic and Atmospheric Sciences Department Colloquium, University of Michigan, Ann Arbor, MI, March 25, 1987.
- The environmental consequences of nuclear war: An overview of 'nuclear winter' research, invited paper presented at the American Nuclear Society annual meeting, November 16–20, 1987, Los Angeles, CA.
- The greenhouse effect and atmospheric chemistry, presented for the LLNL Summer Institute in Applied Physics, August 25, 1988.
- The greenhouse effect and atmospheric chemistry, invited speaker for the Modesto Jr. College faculty, students and community, October 7, 1988.
- Microphysics and nuclear winter, invited speaker for the APCA Symposium on Global Effects of Atmospheric Containments, Albuquerque, NM., October 25, 1988.
- Tropospheric chemistry and climate, presented at the Director's Review of the Physics Department, December 14, 1988.
- Chemistry and climate, presented at the Laboratory Science Advisory Committee review, February 28, 1989.
- Uncertainties in climate models, invited talk and panel participant, annual meeting of the California Energy Research Institute, Los Angeles, CA., February 1, 1990.
- Cloud albedo, greenhouse effects, atmospheric chemistry and climate change, invited talk, presented at the Air and Waste Management Association annual meeting, Anaheim, CA., June 25–30, 1989.
- Long range transport of carbonaceous aerosols: A perspective based on nuclear winter studies, invited talk, presented at the European Aerosol Conference, Vienna, Austria, September 18–23, 1989.
- Long range transport and the effect of NO_x on O₃, invited seminar, presented at the University of Vienna, Vienna, Austria, September 20, 1989.
- Global studies at LLNL: The nitrogen cycle, sulfur cycle and carbonaceous aerosols, invited seminar, presented at the NOAA Aeronomy Laboratory, Boulder, CO, March 7, 1990.
- Uncertainties in climate models, invited talk and panel participant, Annual Meeting of the California Energy Research Institute, Los Angeles, CA, February 1, 1990.
- Tropospheric chemistry: A study of sources, distributions, transport, and climatic effects, invited paper presented (by J. Dignon) at the US/PRC Cooperative Program in Atmospheric Chemistry's Workshop on Regional and Global Models of Atmospheric Chemistry, Shanghai, People's Republic of China, August 1–4, 1990.
- Global tropospheric chemistry modeling, presented (by J. Dignon) at the National Academy Science's Committee on Atmospheric Chemistry's Review of the DOE Atmospheric Chemistry Program, Washington, D.C., September 25–26, 1990.
- Global warming or global cooling?, seminar presented for the Environmental Engineering Fall 1990 Seminar Series at the University of California, Berkeley, September 14, 1990.
- Global modeling studies at LLNL: The nitrogen cycle, sulfur cycle and soot aerosol, presented at the Atmospheric Sciences Seminar Series at the University of California, Los Angeles, March 6, 1991.
- Tropospheric chemistry and climate, briefing for Leonida Petrakis, Director, DAS, Brookhaven National Laboratory, April 29, 1990.
- Tropospheric chemistry studies at LLNL, briefing for Dr. David Galas, Director, DOE OHER, August 16, 1990.
- The role of human activity and land use change in atmospheric chemistry and air quality, invited participant and speaker at the 1991 Global Change Institute on Global Land Use/Cover Change, Snowmass, CO, July 29–August 9, 1991.
- Aerosols and climate, invited presentation for the NASA Workshop on Climate Modeling: Prospects and Needs for the Next Decade, Alexandria, VA, November 13-15, 1991.

- Global model simulations of the long range transport of soot and sulfur from the Kuwait oil fires, invited presentation for the Expert Meeting on the Atmospheric Part of the Emergency Response to the Kuwait Oilfield Fires, World Meteorological Organization, Geneva, April 27–30, 1991.
- Effect of biomass burning on global radiation budget, invited presentation for the 1992 Joint Spring Meeting of the AGU, CGU, and MSA, May 12-16, 1992, Montreal, Canada.
- Aerosols and Climate, seminar presented at the Max Planck Institut fuer Meteorologie, Hamburg, FRG, June 17, 1992.
- Aerosols and Climate, seminar presented at Laboratoire de Modelisation du Climat et de l'Environnement, Orme des Merisiers, CEN-SACLAY, 91191 Gif-sur-Yvette, France, July 16, 1992.
- Global Aerosol Modeling, invited tutorial presented at the 1992 AAAR Annual Meeting, San Francisco, CA, October 12 - 16, 1992.
- Global Emissions and Models of Photochemically Active Compounds, invited paper, presented at the International Global Atmospheric Biospheric Chemistry (IGAC) Scientific Conference Eilat, Israel, April 18 - 22, 1993.
- Aerosols and Clouds: Model Performance and Needed Observations, invited paper, presented at the Atmospheric Chemistry Gordon Conference, New Port, Rhode Island, June 21 - June 25, 1993.
- Aerosols and Climate, invited paper, presented at the at the IAMAP Scientific Conference, July 11-23, 1993, in Yokohama, Japan.
- Clouds, Aerosols and Chemistry, invited paper to the NSF Workshop on the Role of Clouds, Energy and Water in Global Climate Change, Nov. 8-10, 1993, Reno, NV.
- Aerosols and Climate, invited paper presented at the symposium on "Could We Engineer the Earth's Climate?", AAAS Annual Meeting, San Francisco, February, 1994.
- Estimating Changes in Aerosol Forcing, invited paper presented at the 1994 Global Change Institute on Integrated Assessment of Climate Change, Snowmass, CO, July 20-29, 1994.
- Aerosols and Climate Change, invited tutorial presented at the Fourth International Aerosol Conference, Los Angeles, CA, August 29 - September 2, 1994.
- Forcing by Anthropogenic Aerosols, invited paper presented at the WMO experts meeting on Documenting and Detecting Long-Term Climate Change: Monitoring Requirements for GCOS, January 9-11, 1995.
- Aerosols and Climate Change, invited paper presented at the Global Change Symposium, Annual Meeting of the American Meteorological Society, Dallas, TX, January 15-20, 1995.
- The Contribution of Aerosols from Biomass Burning to Climate Change, invited paper presented at the Chapman Conference on Biomass Burning and Global Change, Williamsburg, VA, March 13-17, 1995.
- The Contribution of Anthropogenic Aerosols to Climate Change, invited paper presented at the Third International Conference on Modelling of Global Climate Change and Variability, Hamburg, September 4-8, 1995.
- Aerosol Impacts on the Radiation Balance, paper presented at the 1995 JASON Review of the Atmospheric Radiation Measurements Program, July 10-12, 1995.
- Aerosol-Climate Interactions, invited paper presented at the 14th International Conference on Nucleation and Atmospheric Aerosols, Helsinki, Finland, 26-30 August 1996.
- Anthropogenic Forcing of Climate: What is the Magnitude of Forcing and is a Signature Discernible in the Climate Record? NRC Panel on Climate Variability on Decade-to-Century Time Scales, 21-23 February 1996, Beckman Center, Irvine, CA.
- Tutorial: Aircraft Effects on Aerosols, Chemistry and Climate: A New Focus, to be presented to Symposium of the Global Atmospheric Effects of Aviation, Virginia Beach Resort Hotel and Conference Center, April 15-19, 1996.
- Aerosols and Climate, briefing to Agency Program Leaders and U.S. Global Change Research Program Symposium, April 25, 1996.
- Uncertainty in Climate Forcing by Anthropogenic Aerosols, presented at the International Symposium on Atmospheric Chemistry and Future Global Environment, November 11-13, 1997, Nagoya, Japan.
- Uncertainty in Climate Forcing by Anthropogenic Aerosols, presented at the fall meeting of the American Geophysical Union December 8-12, 1997.
- Panel Participant, Atmospheric Chemistry and Climate Change, Science and Public Policy, International Symposium on Global Atmospheric Chemistry sponsored by the Commission on Atmospheric

- Chemistry and Global Pollution (CACGP) and the International Global Atmospheric Chemistry Project (IGAC), Seattle, August 19-25, 1998.
- Climate Change and Radiative Forcing by Anthropogenic Aerosols: A Summary of Current Understanding: PAOS/NOAA-CDC/CIRES Distinguished Lecturer Series, December 4, 1998, University of Colorado, Boulder.
- Climate Change and Radiative Forcing by Anthropogenic Aerosols: Research Findings During the Last 5 Years, La Jolla International School of Science, The Institute for Advanced Physics Studies, La Jolla, CA 92038-2946, March 29-30, 1999.
- Climate Change and Radiative Forcing by Anthropogenic Aerosols: A Summary of Current Understanding, AMS 10th Conference on Atmospheric Radiation, Madison, Wisconsin, June 28 - July 2, 1999.
- Climate Change and Radiative Forcing by Anthropogenic Aerosols: A Summary of Current Understanding, International Union of Geodesy and Geophysics, University of Birmingham, Birmingham, United Kingdom, July 19 - 30, 1999.
- The Global Aerosol Climatology Project: Model Intercomparison for Sulfate, Carbon, Sea Salt and Dust. Fall Meeting of the American Geophysical Union, San Francisco, December 1999.
- The Current IPCC Assessment of Climate Change, presented at the University of Michigan bi-weekly seminar series to promote Research in the Interest of the Public and the Environment, Michigan League, February 24, 2000.
- Future Aerosol Composition: An Intercomparison of Model Results and Future Forcing. Western Pacific Geophysics Meeting, Tokyo, Japan, June 27-30, 2000.
- Environmental science briefing to Congressional staff and others on "Aviation and the Global Atmosphere", the IPCC (Intergovernmental Panel on Climate Change) climate assessment report. Tuesday, October 26, 1999, Room G-11 of the Dirksen Senate Office Building, 12:00 Noon - 2:00 PM.
- Penner, J.E. The IPCC Assessment of Aircraft and the Global Atmosphere, presented at the meeting of the IPCC (Intergovernmental Panel on Climate Change) Subsidiary Science and Technology Assessment, Berlin, June?, 1999.
- The Global Aerosol Climatology Project: Building a Global Aerosol Climatology. Spring Meeting of the American Geophysical Union, Washington D.C., June 2000.
- The Global Aerosol Climatology Project, presented at the NARSTO 2000 meeting: Tropospheric Aerosols: Science and Decisions in an International Community, Querétaro, Mexico, October 23-26, 2000.
- The Effects of Anthropogenic Aerosols on Climate and Temperature Structure, presented at the APEX workshop, Sapporo, Japan, February 29, 2001.
- The Effects of Anthropogenic Aerosols on Climate and Temperature Structure, presented at UCLA, May 2, 2001.
- A summary of the IPCC Special Report on Aviation and the Global Atmosphere, presented at the International Civil Aviation Organization Colloquium on Environmental Aspects of Aviation, Montreal 9-11 April 2001.
- Black carbon and other aerosols: Observations and radiative forcing, presented at the GSFC Summer Institute, June 2001.
- Research needs to improve GCM assessments of the indirect aerosol effect, National Aerosol climate Interactions Program Workshop, January 8 – 10, 2002, Scripps Institute of Oceanography, San Diego.
- Black carbon and other aerosols: Observations and radiative forcing, presented at the University of Arizona, February 18, 2002.
- Global aerosol climatologies: Are there differences between models and the real world? Presented at the Workshop on Air Pollution as a Climate Forcing April 29 – May 3, 2002, Honolulu, Hawaii.
- Aerosol-climate interaction: Improving global models and estimates of climate forcing, presented at the Aerosol-Cloud-Radiation Interaction in Boundary Layer Clouds workshop, Toulouse, France, June 24-27, 2002.
- Radiative forcing uncertainties, presented at the Climate Change Impacts and Integrated Assessment Workshop of the Energy Modeling Forum, Snowmass, Colorado, July 29- August 7, 2002.
- Historical aerosol emissions and climate change, presented at the Haagen-Smit Symposium, Lake Arrowhead, CA, May 6-9, 2003.
- The dark side of the forcing: Black carbon, presented at the AAAS Annual Meeting Symposium on "Our Hazy Atmosphere", February 15, 2004.

- Trends in black carbon, presented for the University of Rhode Island Graduate School of Oceanography's 2003/2004 Vetlesen Distinguished lecture Series on Climate and the Marine Environment, April 8, 2004.
- Model Studies of the Effects of Aircraft Soot on Cirrus, presented at Ice, Soot, and Aviation: what Impact on the Environment? Meeting at La Londe Les Maures, 10 – 14, May 2004.
- Complexities in the Temperature Signal: Aerosols and Trace Gases, presented at the AAAS Symposium on Climate Change, June 15, 2004, Washington, D.C.
- Effect of Black Carbon on Temperature Lapse Rates, presented at the Aspen Global Change Institute, July 2004.
- Complexities in the Temperature Signal: Aerosols and Trace Gases, presented at Yale University, October, 2004.
- Air Transportation: Emissions and Effects, presented to the IPIECA Climate Change Workshop, Baltimore, October 2004.
- Effect of Black Carbon on Mid-Troposphere and Surface Temperature Trends, presented at MIT, November, 2004.
- Uncertainties in the trends of black carbon emissions: contribution to temperature change, presented at the EPA black carbon workshop, October, 2004.
- Uncertainties in model predictions of indirect aerosol effects, presented at the IGAC Specialty Conference on the Indirect Effect of Aerosols on Global Climate, 5 – 7 January, 2005, Manchester, England.
- Direct effects of aerosols on climate: What do we know? presented at the IPCC Expert Meeting on Aerosols, 2-4 May, 2005, Geneva.
- Aerosols and Clouds: Can we Quantify the Effect of Aerosols on Climate Change? presented at the Atmospheric Chemistry Gordon Conference, Bozeman, Montana, 4 – 9 September, 2005.
- Aerosols and Clouds: Can we Quantify the Effect of Aerosols on Climate Change? Presented as a tutorial at the American Association for Aerosol Research Annual Meeting, 17 – 21, October, 2005, Austin, Texas.
- Aerosols and Climate: Can we Quantify the Effect of Aerosols on Climate Change and does it matter?, Goddard Laboratory for Atmospheres Distinguished Lecture Series, July 27, 2006.
- Air Transportation: Emissions and Effects, Presentation to the First Regional Symposium on Carbon Management, Dhahran, 22-24 May 2006.
- Solar UV flux, DMS and Climate: Is there a connection?, Joyce E. Penner, Luis Olcese, Li Xu, and Minghuai Wang, 2007 SOLAS Open Science Meeting, Xiamen, China, March 6-9, 2007.
- Aerosol-Cloud Interactions and Climate Projections, J.E. Penner, AAAS Annual Meeting, San Francisco, February 15-19, 2007.
- Current Status in Understanding Climate and Climate Change Prediction, J.E. Penner, *Challenges of Climate Change in the Great Lakes Region*, University of Michigan Biological Station, July 26-27, 2007.
- What determines aerosol number concentration for cloud droplet nucleation and radiative forcing?, J.E. Penner and M. Wang, Fall AGU Meeting, San Francisco, December 10 – 14, 2007.
- Aerosol Forcing of Climate, J.E. Penner, The 10th International Workshop on Next Generation Climate Models for Advanced High Performance Computing Facilities, February 28 – March 1, 2008, Oahu, Hawaii.
- Effects of soot aerosols from aircraft on cirrus clouds, J.E. Penner, UTIAS-MITACS International Workshop on Aviation and Climate Change, May 29-30, 2008, Toronto, Canada.
- Effects of Aerosols on Cirrus Clouds, J.E. Penner, Jet Propulsion Laboratory, July 8, 2008.
- Effects of Aerosols on Cirrus Clouds, J.E. Penner, California Institute of Technology, July 9, 2008.
- Effects of Aerosols from Aircraft on Cirrus Clouds, J.E. Penner, Non CO₂ Effects Workshop, July 22-24, 2008, Oxford, U.K.
- Aerosols and climate: An international perspective, J.E. Penner, Something in the Air – Aerosols and Climate Change, 18-19 August 2008, Rydges Lakeside, Canberra, Australia.
- Projecting Climate Change, J.E. Penner, International Summer School on Atmospheric and Oceanic Science, September 22 – 26, 2008, L'Aquila, Italy.
- Current status in understanding climate change and climate change prediction, J.E. Penner, Invited Keynote Speaker at International Climate Change: Post-Kyoto Challenges, October 30, 2008, Washington University, St. Louis, MO.

- An Overview of Aerosol-Cloud Indirect Effects Estimated From Global Models and Satellite Data, J.E. Penner and M. Wang, invited talk, Fall AGU Meeting, Dec 14 – 20, 2008, San Francisco.
- Ice Nucleation in a Global Climate Model, J.E. Penner and M. Wang, invited talk, Fall AGU Meeting, Dec 14 – 20, 2008, San Francisco.
- Climate Change Science: Can the skeptics ever be convinced?, DUP Lecture, February 16, 2009
- Can we decrease uncertainties in estimates of the direct and indirect forcing by anthropogenic aerosols?, J.E. Penner, Invited talk, Gordon Radiation and Climate Conference, July 5 - 10, 2009, New Hampshire.
- Radiative forcing and related issues, J.E. Penner, invited talk, AR5 scoping meeting, Venice, 13-17 July 2009.
- Direct and indirect forcing by anthropogenic aerosols: Can we decrease uncertainties?, J.E. Penner, invited talk, International Union of Geodesy and Geophysics, MOCA 09 Joint Assembly, July 19 - 29, 2009.
- Direct and indirect forcing by anthropogenic aerosols: Can we decrease uncertainties?, invited talk, Chemistry/Climate Workshop, Oslo, Norway, 10-11 September 2009.
- How have “atmospheric pollutants” been treated within IPCC?, J.E. Penner, invited talk, Workshop on climate change and air pollution, Gothenburg, Sweden, October 19-21, 2009.
- Using aerosol injection for geo-engineering, Invited talk, MIT Symposium on Geo-Engineering, October 30, 2009, Cambridge, MA.
- What do we know about the interaction between transport-induced aerosol and clouds?, invited presentation, QUANTIFY final meeting, Munich, January 25-27, 2010.
- Global Modeling of Secondary Organic Aerosol, Joyce E. Penner, Presented at the Max Planck Institute of Chemistry Summer Aerosol Course, June 2010.
- Representation of cloud-aerosol-precipitation interactions in global climate models, Joyce E. Penner, invited presentation at the DOE ASR cloud-aerosol-precipitation working group, October 13, 2010.
- Modelling the effects of aerosol on cirrus clouds, Invited seminar, DLR, Oberpfaffenhofen, 25 June 2010.
- Uncertainties in feedbacks in the climate system, Joyce E. Penner, invited presentation, Frontiers of Nonlinear Physics, Russia, July 13-20, 2010.
- Direct and indirect effect of SOA's on climate, Gordon conference on biogenic emissions, May 25, 2010, Les Diablerets, Switzerland
- Production of secondary organic aerosols and effects in the atmosphere, seminar Edinburgh University, April 28, 2010.
- Direct and indirect effect of organic and BC aerosols on climate, invited seminar, CNRS, Toulouse France, September 1, 2010.
- Direct and indirect effect of organic aerosols on climate, J. E. Penner, Invited Seminar presented at University of Illinois, October 20, 2011.
- The effect of anthropogenic soot particles on cirrus and mixed phase clouds, invited, Joyce E Penner and Yuxing Yun, Fall AGU Meeting, San Francisco, CA., Dec. 5-9, 2011.
- Is it Possible to use Satellite Measurements with Models to Quantify the Indirect Forcing by Anthropogenic Aerosols? invited, Joyce E. Penner and Cheng Zhou, Meeting of the American Meteorological Association, New Orleans, January 23-25, 2012.
- Effects of aircraft soot emissions on large-scale cirrus clouds, invited talk, Joyce. E. Penner, Cheng Zhou, Roy Chen, Ulrich Schumann, Kasper Graf, 3rd UTIAS International Workshop on Aviation and Climate Change, Toronto, May 2-4, 2012.
- How can we improve estimates of indirect aerosol forcing? Joyce E. Penner and Cheng Zhou, invited, AEROCOM Workshop, Seattle, Sept. 10 – 13, 2012.
- Observational constraints for climate forcing by biomass burning aerosols, invited, Joyce E Penner, Cheng Zhou, Michael J. Prather, Li Xu, Fall AGU Meeting, San Francisco, CA., Dec. 3-7, 2012.
- Reconciling estimates for the first aerosol indirect forcing from satellites and models, invited, Joyce E. Penner, Cheng Zhou, and Seoung Soo Lee, Fall AGU Meeting, San Francisco, CA., Dec. 3-7, 2012.
- Challenges linking molecular data to climate models, Joyce E. Penner, invited talk, presented at the Environmental Molecular Science Laboratory Planning meeting, Irvine, CA, January 30, 2013.

Contributed presentations (recent):

- Direct and indirect forcing by anthropogenic aerosols: Can we decrease uncertainties?, J. E. Penner, L. Xu and M. Wang, International Association for Meteorology and Atmospheric Sciences, Montreal, July 2009.
- Global modeling of SOA formation from different mechanisms, G. Lin, S. Sillman, and J.E. Penner, poster, Fall AGU meeting, December 14-18, 2009.
- Aviation Induced Cirrus in Observations and Models, Joyce E. Penner, Kaspar Graf, Ulrich Schumann, Presented at the ACCRI Program Workshop, November 17, 2010, Washington, D.C.
- The effect of organic aerosols from SOA formation on estimates of the aerosol indirect effect, J.E. Penner, S. Sillman, and G. Lin, EGU meeting, Vienna, May 3 – 7, 2010.
- The 3-D AMR on a Spherical Shell for Atmospheric Models with Lagrangian Coordinates, poster, J.E. Penner, N. Andronova, Q. F. Stout, B. van Leer, J. Boyd, C. Jablonowski, K. Powell, R. C. Oehmke, J.-C. Lin, V. Zubov, D. Vandenberg, X. Chen, DOE Integrated Climate Change Modeling Science Team Meeting, March 29 – April 2, 2010.
- From atmospheric chemistry to atmospheric aerosols, J.E. Penner, symposium in celebration of 70th birthday of M.B. McElroy, March 20 – 21, 2010.
- Modelled radiative forcing of aerosol direct effect with nitrate aerosols: some preliminary results, Li Xu and J.E. Penner, poster, Fall AGU meeting, December 14-18, 2009.
- Aerosol effects on ice formation in mixed-phase clouds, Yuxing Yun and J.E. Penner, T-ice planning workshop, Boulder Colorado, September, 24, 2009.
- Aerosol effects on ice clouds: Can the traditional concept of aerosol indirect effects be applied to aerosol-cloud interactions in cirrus clouds?, poster, S.S. Lee and J.E. Penner, DOE Atmospheric System Research Program Meeting, Bethesda MD, March 15 – 18, 2010.
- From Human Activities to Climate Change: Uncertainties in the Causal Chain, M. J. Prather, J. E. Penner, et al., Fall AGU Meeting, Dec. 2010.
- The effects of hygroscopicity of fossil fuel BC on mixed-phase and cirrus ice clouds, Y. Yun and J. E. Penner, Fall AGU Meeting, Dec. 2010.
- Global modeling of SOA formation from different mechanisms, Guangxing Lin, Sanford Sillman, and Joyce E. Penner, Fall AGU Meeting, Dec. 2010.
- Global Modeling Study of Aerosol Deposition to the Ocean: Sensitivity to Mineral Dust Size Distribution at Emission, Akinori Ito, Jasper F. Kok, Yan Feng and Joyce E. Penner, IGBP meeting, 2011.
- A Tracer Transport Model Test using a Finite-Volume Model with a Vertical Lagrangian Coordinate, N. Andronova, J. E. Penner, X. Chen, D. Vandenberg, Q. Stout, NCAR Workshop on Tracer Transport, March 2011.
- Influence of Aviation on Large-Scale Cirrus Clouds and Anthropogenic Forcing, Joyce E. Penner, Minghui Wang, Yuxing Yun, Li Xu and Yang Chen, presented at the International Union of Geophysics and Geosciences meeting, Melbourne, Australia, June 2011.
- Quantification of indirect combustion aerosols on cirrus clouds, Olga Popovicheva, Yuxing Yun and Joyce Penner, AAC meeting, Xian, China, 2011.
- Global contrail cirrus cover and radiative forcing for 2006 air traffic, U. Schumann, K. Graf, B. Mayer, H. Mannstein, P. Minnis and J. Penner, ACCRI Symposium, February, 2011
- Effects of aircraft emissions on large scale clouds, J. E. Penner and C. Zhou, Federal Aviation Administration, Annual Meeting, Arlington, VA, December 13-14, 2011.
- Progress in Contrail Cirrus Modeling and Comparisons to Observations, U. Schumann, K. Graf, B. Mayer, H. Mannstein, C. Voigt, P. Minnis, J. Penner, Federal Aviation Administration, Annual Meeting, Arlington, VA, December 13-14, 2011.
- A computationally efficient finite volume hydrostatic/non-hydrostatic hybrid model with a vertical Lagrangian coordinate, Joyce E. Penner, Xi Chen, Natalia Andronova, Quentin Stout, Denny Vandenberg, DOE Climate Program Fall Meeting, September 19-22, 2011.
- Differences in the transport of aerosols to the Arctic: Analysis of NCAR CAM5 & GFDL AM3 models and their prediction of snow and ice forcing and the aerosol direct and indirect effect, Cheng Zhou, Joyce E Penner, Yi Ming, Xianglei Huang, Mark G Flanner, Chaoyi Jiao, presented at the Fall AGU Meeting, San Francisco, CA., Dec. 5-9, 2011.
- Modelling African aerosol using updated fossil fuel and biofuel emission inventories for 2005 and 2030, C. Liousse, J.E. Penner, E. Assamoi, presented at the Fall AGU Meeting, San Francisco, CA., Dec. 5-9, 2011.
- Are the effects of hygroscopicity of soot on ice freezing important? Y. Yun and J. E. Penner, presented

- at the Fall AGU Meeting, San Francisco, CA., Dec. 5-9, 2011.
- Global modeling of SOA formation in the aqueous phase, Guangxing Lin, Joyce E. Penner, and Sanford Sillman, Fall AGU Meeting, San Francisco, CA., Dec. 5-9, 2011.
- A computationally efficient finite volume hydrostatic/non-hydrostatic hybrid model with a vertical Lagrangian coordinate, Xi Chen, Natalia Andronova, Joyce E. Penner, Quentin Stout, Denny Vandenberg, Fall AGU Meeting, San Francisco, CA., Dec. 5-9, 2011.
- Radiative forcing by aircraft: Effects of soot on large-scale clouds, Joyce E. Penner, Yibin Chen, Cheng Zhou, Yuxing Yun, Ulrich Schumann, Kaspar Graf, Olga Popovicheva, ACCRI 3rd Symposium on the Effects of Aircraft on Climate, Virginia Beach, Nov. 27-29, 2012.
- The effects of marine primary biogenic organic aerosols as heterogeneous ice nuclei in mixed-phase clouds, Yuxing Yun and Joyce E Penner, Fall AGU Meeting, San Francisco, CA., Dec. 3-7, 2012.
- Can observations constrain present day and pre-industrial emissions of BC aerosols from biomass burning?, Joyce E. Penner, Cheng Zhou, Cathy Lioussé, Eric Assamoi, Marion Bisiaux, Ross Edwards, Joe McConnell, presented at the GEIA Workshop, Toulouse, France, June 2012.
- Underlying uncertainty in future projection of marine ecosystem feedbacks to climate change, Akinori Ito, Jasper Kok, Yang Feng, and Joyce E Penner, Japan Geophysical Union, 2012.
- Effect of Estimation of Dust Size Distribution at Emission on Iron Deposition, Akinori Ito, Jasper F. Kok, Yan Feng and Joyce E. Penner, SOLAS meeting, 2012.
- Global modeling of SOA formation in the aqueous phase, Guangxing Lin, Sanford Sillman, and Joyce E Penner, presented at the Atmospheric Chemistry Gordon Conference, August, 2012.
- Underlying uncertainty in future projection of marine ecosystem feedbacks to climate change Akinori Ito, Jasper F. Kok, Yan Feng and Joyce E. Penner, WCRP meeting, 2012.
- Anthropogenic emissions enhance biogenic SOA formation and its radiative cooling effect, Guangxing Lin and Joyce E Penner, presented at the AEROCOM meeting, Seattle Washington, September, 2012.
- Reconciling estimates for the first aerosol indirect forcing from satellites and models, Cheng Zhou, J. E. Penner and S. S. Lee, presented at the 93rd American Meteorological Society Annual Meeting, Austin, Texas, January 6-10, 2013.
- Inclusion of an aqueous phase formation mechanism for organic aerosols in CAM, Joyce E. Penner and Guangxing Lin, Joint Climate-Chemistry and Atmospheric Working Group meeting, NCAR, Feb 11-13, 2013.
- Is the indirect forcing by aircraft soot positive or negative? Cheng Zhou and Joyce E. Penner 2013 CESM workshop, Breckenridge, CO, June 19th, 2013.
- Radiative forcing by aircraft: Effects of soot on large-scale clouds, Joyce. E. Penner, Cheng Zhou, Roy Chen, Yuxing Yun, Ulrich Schumann, Kasper Graf, Olga Popovicheva, IAMAS Meeting 8 – 12 July 2013, Davos, Switzerland.
- Geoengineering: Technical evaluation and discussion of impacts. A summary of study sponsored by the NRC Board on Atmospheric Sciences and Climate, presented to the Standing Committee on Earth Science and Applications from Space (CESAS) October 2013 meeting.
- Comparison of CAM5.3 and CSRM Over the Ocean, C. Zhou, J.E. Penner, D. Posselt, S.S. Lee, 2013 DOE ASR Fall Working Group Meeting, Washington DC, Nov 7th, 2013.
- Do GCM's overestimate the warm cloud aerosol indirect effect?, Joyce Penner, DOE ASR Program Cloud-Aerosol-Precipitation Interaction Breakout Group, Nov 7, 2013.
- Is the indirect forcing by aircraft soot positive or negative? C. Zhou and J.E. Penner, 2013 AGU Fall Meeting, San Francisco, CA, Dec 12th, 2013.
- Radiative forcing of organic aerosol in the atmosphere and on snow: Effects of SOA and brown carbon, poster, 2013 AGU Fall Meeting, San Francisco, CA, December, 2013.
- Radiative forcing associated with particulate carbon emissions resulting from the use of mercury control technology, poster, 2013 AGU Fall Meeting, San Francisco, CA, December, 2013.
- Global modeling of secondary organic aerosol with an explicit scheme, Guangxing Lin, Joyce Penner, Sanford Sillman, and Ito Akinori, CESM Atmospheric Modeling Working Group and Chemistry Climate Working Group Meeting, NCAR, March 2014.
- Simulations of Aerosol, Cloud, and Precipitation Effects in Comparison with ARM Data, C. Zhou, J. E. Penner, D. Posselt, S.-S. Lee, and G. Lin, Poster presented at 2014 DOE ASR Annual Meeting, Bolger Conference Center, MD, March 2014.
- The CAM/IMPACT/CoCiP Coupled Climate Model: Radiative forcing by aircraft in spreading contrails and large-scale clouds, Joyce E. Penner, seminar presented at Duke University, February 2014.

- The CAM/IMPACT/CoCiP Coupled Climate Model: Radiative forcing by aircraft in spreading contrails and large-scale clouds, Joyce E. Penner, seminar presented at University of Wyoming, April 14, 2014.
- The CAM/IMPACT/CoCiP Coupled Climate Model: Radiative forcing by aircraft in spreading contrails and large-scale clouds, Joyce E. Penner, seminar presented at Pacific Northwest National Laboratory, August 27, 2014.
- Comparison of NASA GCE-CRM and SCM-CAM5 (SCAM) with ARM data, Cheng Zhou and Joyce E. Penner, presented at DOE ASR Fall meeting, November 2014.
- How important are glassy SOA ice nuclei for the formation of cirrus clouds?, Cheng Zhou, Joyce E. Penner, Guangxing Lin, Xiaohong Liu, Minghuai Wang, presented at the 2015 95th AMS annual meeting, Phoenix, AZ, January 2015.
- Present-day to 21st century projections of secondary organic aerosol (SOA) from a global climate-aerosol model with an explicit SOA formation scheme, G. Lin, J. E. Penner, and C. Zhou, presented at the Fall AGU Annual Meeting, San Francisco, December 2014.
- Radiative forcing of climate by aerosols, invited, presented at the International conference “Aerosol and Atmospheric Optics”, October 21-24, 2014, Moscow.
- Global modeling of SOA: the use of different mechanisms for aqueous phase formation, G. Lin, J.E. Penner, S. Sillman, and A. Ito, presented at the AAAR Annual conference, October 2014.
- The CAM/IMPACT/CoCiP Coupled Climate Model: Radiative forcing by aircraft in spreading contrails and large-scale clouds, invited seminar, presented at the Pacific Northwest National Laboratory, August 27, 2014.
- The CAM/IMPACT/CoCiP Coupled Climate Model: Radiative forcing by aircraft in spreading contrails and large-scale clouds, invited presentation, UTIAS Workshop on Aviation and Climate Change at the University of Toronto Institute for Aerospace Studies during May 27-29, 2014.
- The CAM/IMPACT/CoCiP Coupled Climate Model: Radiative forcing by aircraft in spreading contrails and large-scale clouds, seminar, Scripps Institute for Oceanography, July 25, 2014.
- Emission and Transport of BC to Russian Arctic from Siberian Wildfires and Seasonal Burning, O. Popovicheva, J. E. Penner, A. Makshtas, T. Uttal, presented at the PEEX workshop, Finland, February, 2015.
- Secondary organic aerosol in the atmosphere: Formation and effects on radiative forcing, J. E. Penner, G. Lin, and C. Zhou, presented at NOAA Earth System Laboratory Chemical Sciences Division seminar, April 1, 2015.
- Comparison of GCE-CRM and SCM-CAM5 with ARM data, J. E. Penner and C. Zhou, presented at the ASR meeting, Sheraton Tyson’s Corner, VA, March 16-19, 2015.
- How important are glassy SOA ice nuclei for the formation of cirrus clouds?, J. E. Penner and C. Zhou, presented at the International Union of Geodesy and Geophysics Quadrennial meeting, June 22 – July 2, 2015, Prague.
- Will cirrus cloud seeding reduce warming?, Joyce E. Penner, Cheng Zhou and Guangxing Lin, presented at the International Union of Geodesy and Geophysics Quadrennial meeting, June 22 – July 2, 2015, Prague.
- Emission and Transport of BC to the Russian Arctic from Siberian Wildfires, J. E. Penner, O. Popovicheva, C. Zhou, G. Lin, A. Makshtas, and T. Uttal, presented at the 11th International Conference on Carbonaceous Particles in the Atmosphere, August 10-13, 2015, Berkeley, CA.
- Simulations of dehydration effects from contrails in a global model, U. Schumann, J. E. Penner, Y. Chen, Z. Chou, K. Graf, presented at the Fourth Conference on Transport, Atmosphere and Climate, Bad Kohlgrub, Germany, 22-25 June 2015.
- How important are glassy SOA ice nuclei for ice formation in cirrus clouds? J. E. Penner and C. Zhou, invited presentation at the 250th American Chemical Society National Meeting Meeting, August 16-20, 2015, Boston.
- What controls the low ice number concentration in the upper tropical troposphere? C. Zhou, J. E. Penner, G. Lin, X. Liu, and M. Wang, presented at the 96th Annual American Meteorological Society Meeting, January 10-14, 2016, New Orleans, Louisiana.
- How Will Secondary Organic Aerosols Change in the Future?, J. E. Penner, G. Lin, and C. Zhou, presented at the Fall American Geophysical Union Meeting, December 14-18, 2015, San Francisco, CA.

- How will SOA change in the future?, G. Lin, J. E. Penner, and C. Zhou, presented at the 2015 Gordon Research Conference on Atmospheric Chemistry, August 2-7, 2015, Waterville Valley, New Hampshire.
- What controls the Low Ice Number Concentration in the Upper Tropical Troposphere? C. Zhou, J. E. Penner, G. Lin, X. Liu, and M. Wang, presented at the NOAA CT3LS meeting, Boulder, CO, July 23, 2015.
- How can we improve estimates of indirect aerosol forcing? Invited presentation for the NRC Committee on Opportunities to Improve the Representation of Clouds and Aerosols in Climate Models with National Collection Systems meeting on June 24-25, 2015, Irvine, CA.
- How Will Secondary Organic Aerosols Change in the Future?, J. E. Penner, G. Lin, and C. Zhou, presented at NCAR CESM Chemistry Working Group Meeting, Boulder, CO, February 9, 2016.
- Modeling the formation of secondary organic aerosols and the consequences of climate change, Presented at Seoul University, April 12, 2016.
- Why a GCM may overestimate the aerosol cloud lifetime effect: a comparison of CAM5 and CRM using ARM observations, C. Zhou and J. E. Penner, presented at the DOE ASR meeting, Washington D. C., May 2-5, 2016.
- Forcing associated with contrail dehydration and soot emissions in a global climate model, J. E. Penner, Invited Presentation at the 5th UTIAS International Workshop on Aviation and Climate Change, Toronto, May 18-20, 2016.
- Current status in understanding climate and climate change prediction, J. E. Penner, presented to the Ford/University of Michigan Innovation Alliance Executive Committee Meeting, June 17, 2016.
- Why do GCMs overestimate the aerosol cloud lifetime effect? A comparison of CAM5 and a cloud resolving model, J. E. Penner, and C. Zhou, presented at the 2nd Kaufmann Symposium, Goddard Space Flight Center, June 21-23, 2016.
- Discussion of climate change, J. E. Penner, presented at the local Ann Arbor group meeting of Science and Skeptics, June 28, 2016.
- How Will Secondary Organic Aerosols Change in the Future?, J. E. Penner, G. Lin, and C. Zhou, 14th International Global Atmospheric Chemistry Science Conference, Breckenridge, CO, September 26-30, 2016.
- Secondary organic aerosol in the atmosphere: Formation and future effects, J. E. Penner, Nanjing University, October 20, 2016.
- Secondary organic aerosol in the atmosphere: Formation and effects on radiative forcing, J. E. Penner, Nanjing University of Information Science and Technology, October 21, 2016.
- Secondary organic aerosol in the atmosphere: Formation and effects on radiative forcing, J. E. Penner, Texas A&M University, November 16, 2016.
- Climate impact of solid ammonium sulfate aerosols acting as ice nuclei, C. Zhou and J. E. Penner, presented at the Fall AGU Meeting, San Francisco, CA, December 12-16, 2016.
- How Will Secondary Organic Aerosol Forcing Change in the Future?, J. E. Penner, J. Zhu, C. Zhou, and G. Lin, presented at the American Meteorological Society Meeting, Seattle, WA, January 22-26, 2017.
- Climate impact of anthropogenic aerosols on cirrus clouds, J. E. Penner, Invited Presentation, presented at the Fourth Santa Fe Climate Conference, February 6-10, 2017.